

# Dictionary Definition

nano- (nan'oh)

*pref.*

1. <unit> A prefix.
2. Used loosely to mean “extremely small”, e.g. nanotechnology.
3. One-billionth ( $10^{-9}$ ): *nanosecond*.

[Greek nanos, nannos, little old man, dwarf, from nannas, uncle.]

tech·nol·o·gy (tech\*nol'o\*gy)

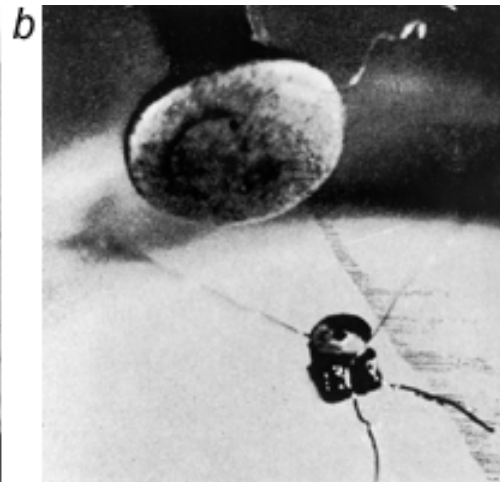
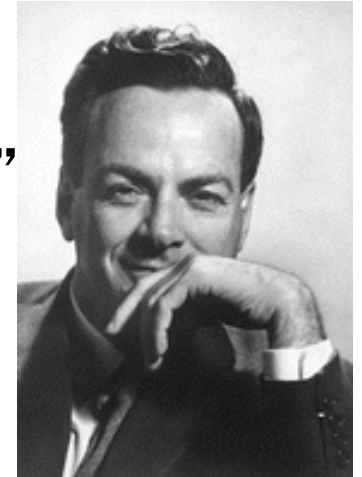
*n. pl. tech·nol·o·gies*

1. The application of science, especially to industrial or commercial objectives.
2. The scientific method and material used to achieve a commercial or industrial objective.
3. Electronic or digital products and systems considered as a group: *a store specializing in office technology*.
4. *Anthropology*. The body of knowledge available to a society that is of use in fashioning implements, practicing manual arts and skills, and extracting or collecting materials.

[Greek tekhnologia , *systematic treatment of an art or craft* : tekhne , *skill*; see teks- in Indo-European Roots + -logia , -logy.]

# How it all started

- Our old friend **Richard P. Feynman**
  - “There’s Plenty of Room at the Bottom”
    - APS address – December 28, 1959



In the late 1950s visionary physicist Richard Feynman issued a public challenge by offering \$1000 to the first person to create an electrical motor "smaller than 1/64th of an inch". Much to Feynman's consternation the young man who met this challenge, William McLellan, did so by investing many tedious and painstaking hours building the device by hand using tweezers and a microscope.

# The “Futurists” get the Spotlight

- **K. Eric Drexler**

- *Engines of Creation*. 1986

- *Nanosystems: Molecular Machinery, Manufacturing, and Computation*. 1992

- Chairman of the “Foresight Institute”

- Sponsor of Feynman prizes

- Annual prizes of US\$5000 for theory and experimental

- Grand prize of US\$250,000

- Considered by most of the scientific community to be “optimistic”

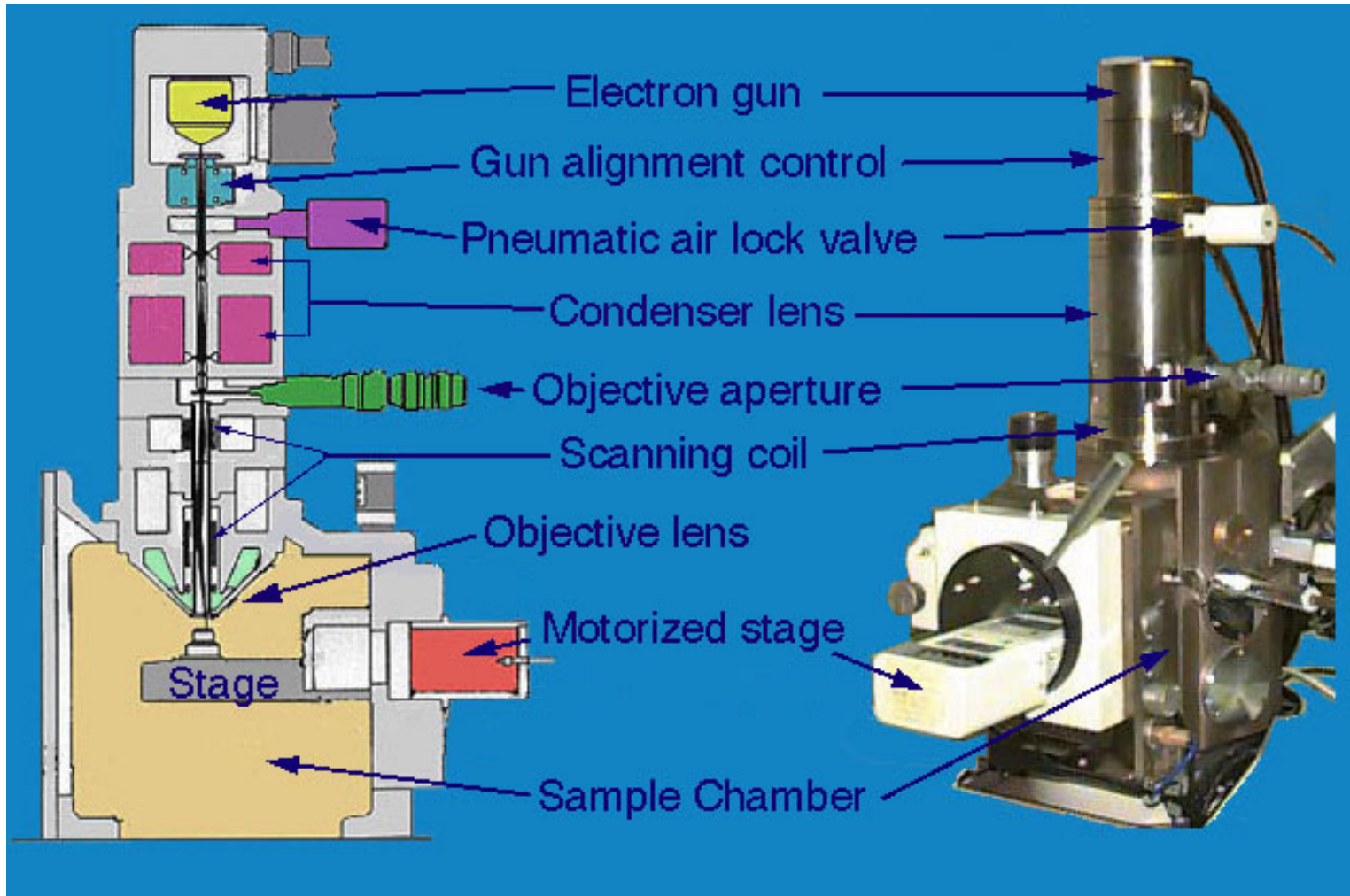
- Brought us “grey goo” and “utility fog”

# **Specifications for the Feynman Grand Prize require the winning entrant to:**

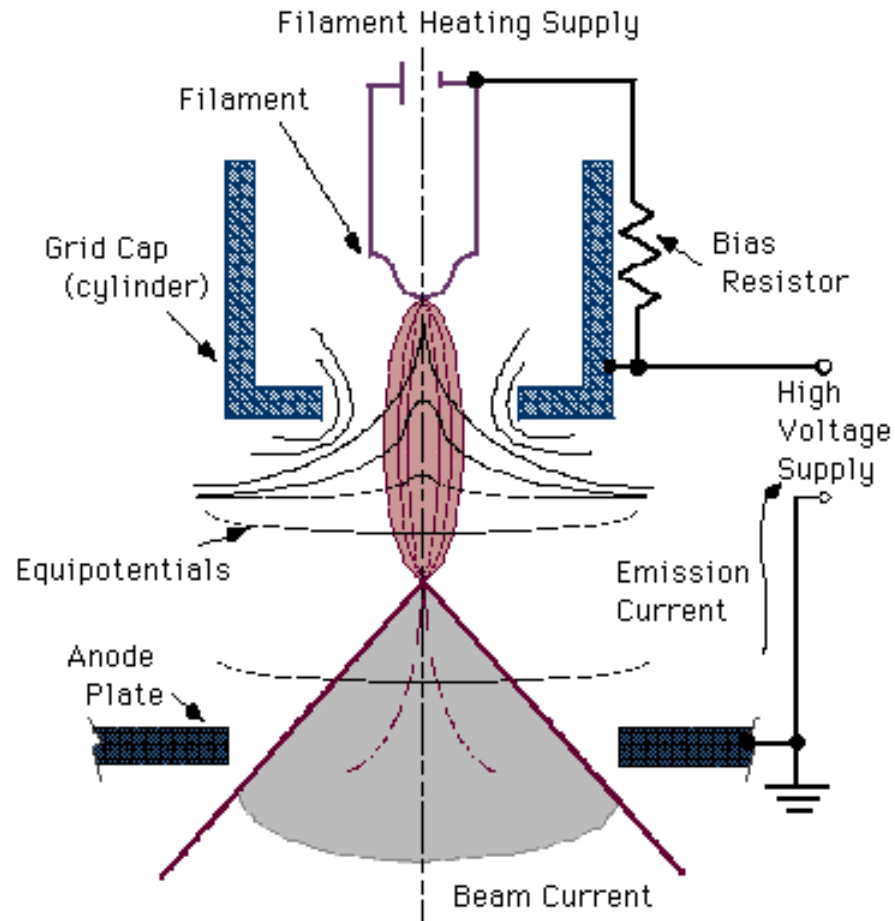
- **design, construct, and demonstrate the performance of a robotic arm that initially fits into a cube no larger than 100 nanometers in any dimension, meeting certain performance specifications including means of input. The intent of this prize requirement is a device demonstrating the controlled motions needed to manipulate and assemble individual atoms or molecules into larger structures, with atomic precision; and**
- **design, construct, and demonstrate the performance of a computing device that fits into a cube no larger than 50 nanometers in any dimension. It must be capable of correctly adding any pair of 8-bit binary numbers, discarding overflow. The device must meet specified input and output requirements.**

# **Tools for Nanotech**

# Scanning Electron Microscope (SEM)



# Scanning Electron Microscope (SEM)

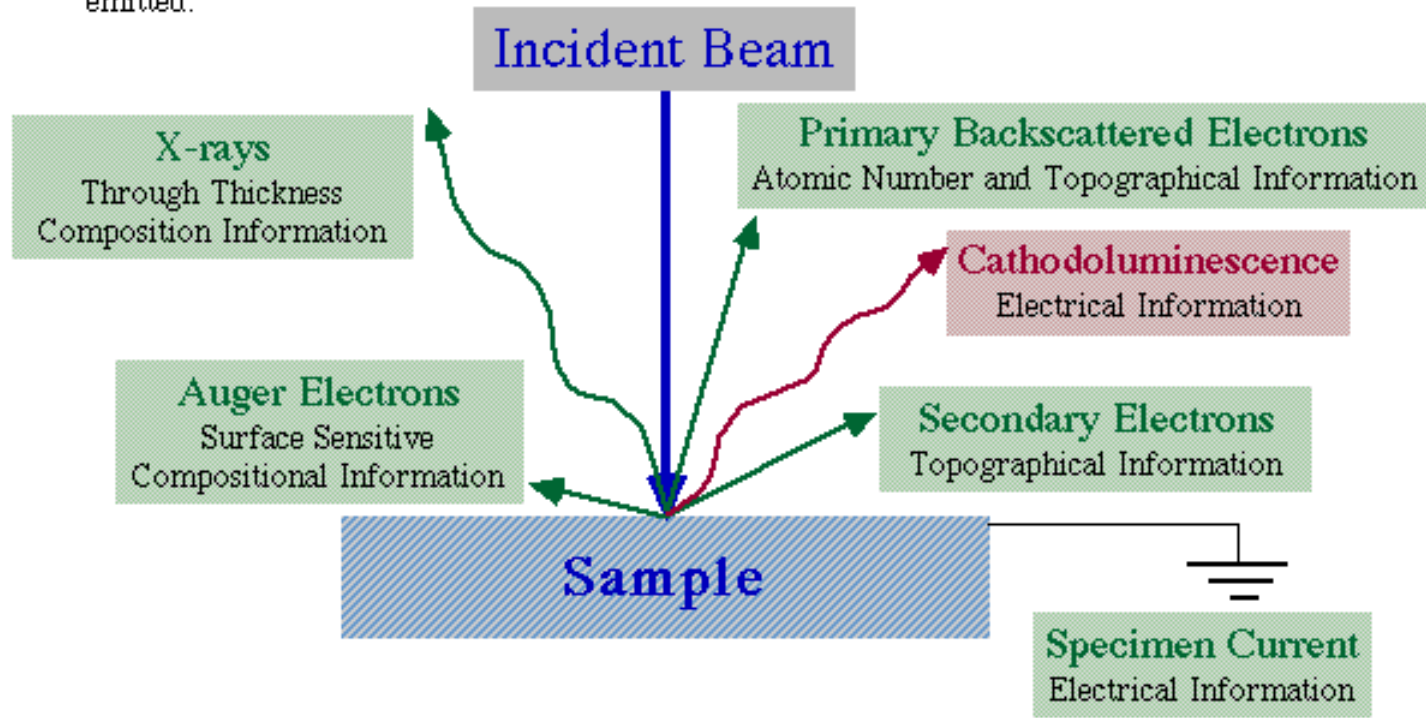


# Scanning Electron Microscope (SEM)

## SEM Setup

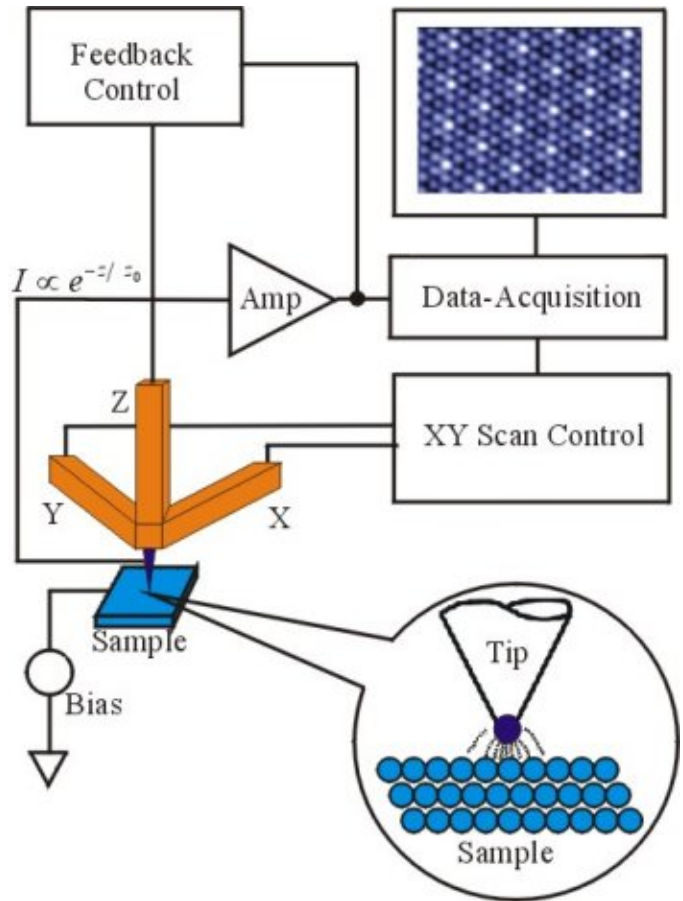
### Electron/Specimen Interactions

When the electron beam strikes the sample, both **photon** and **electron** signals are emitted.

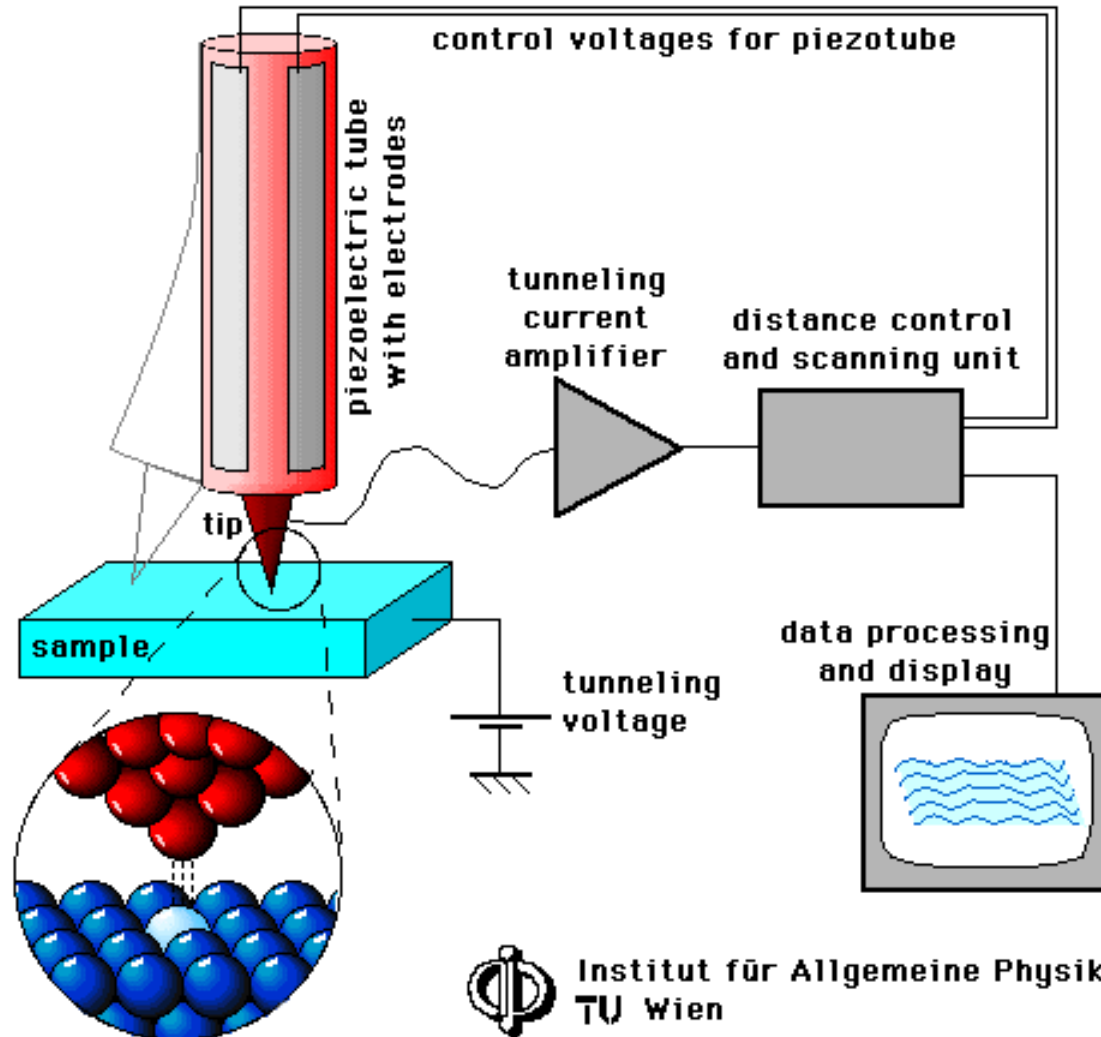




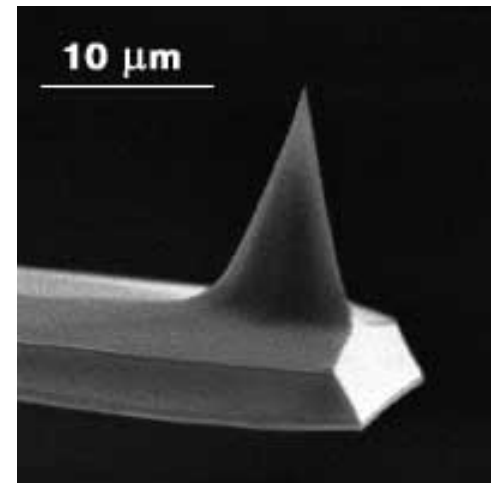
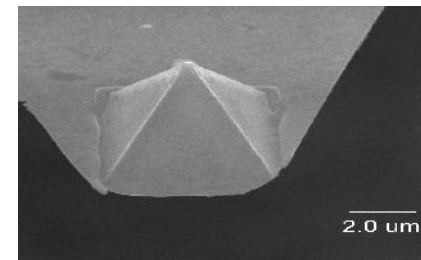
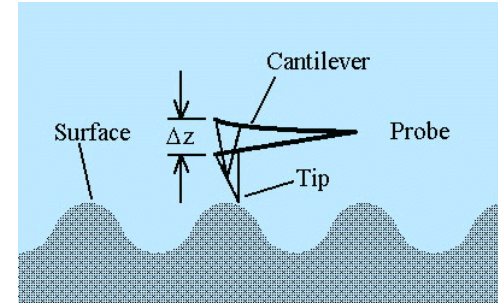
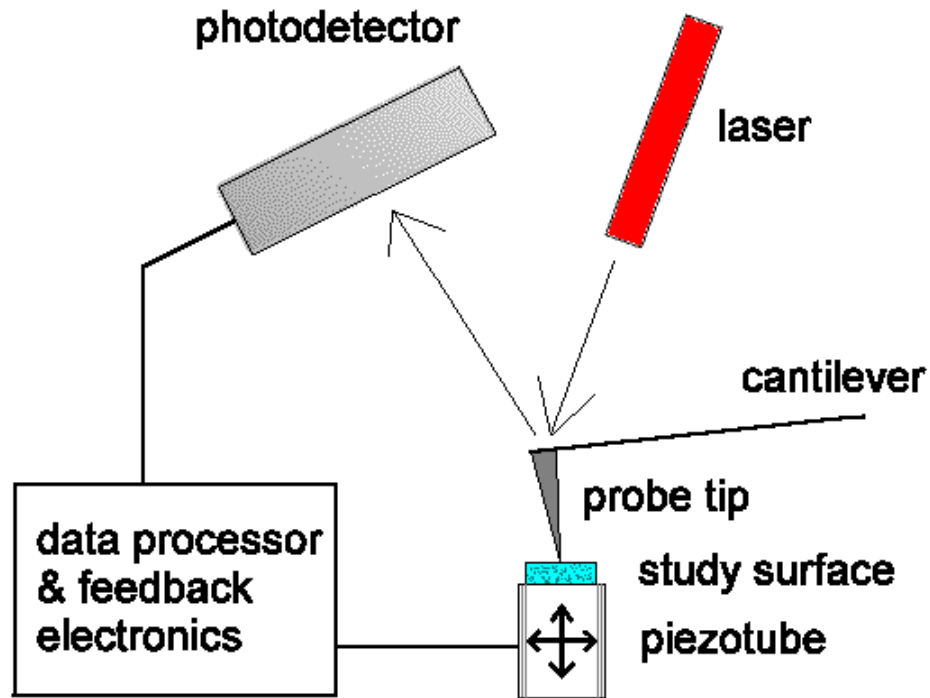
# Scanning Tunneling Microscope (STM)



# Scanning Tunneling Microscope (STM)

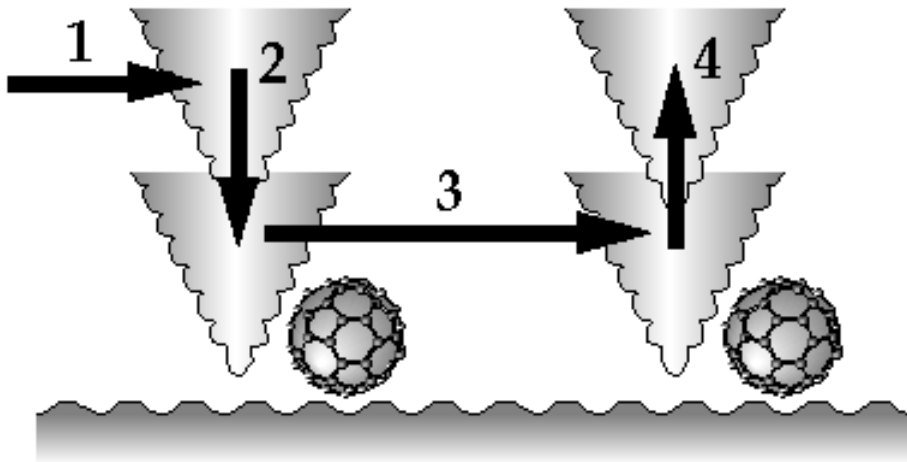


# Atomic Force Microscope (AFM)



# Playing with Atoms

# Molecular Manipulation

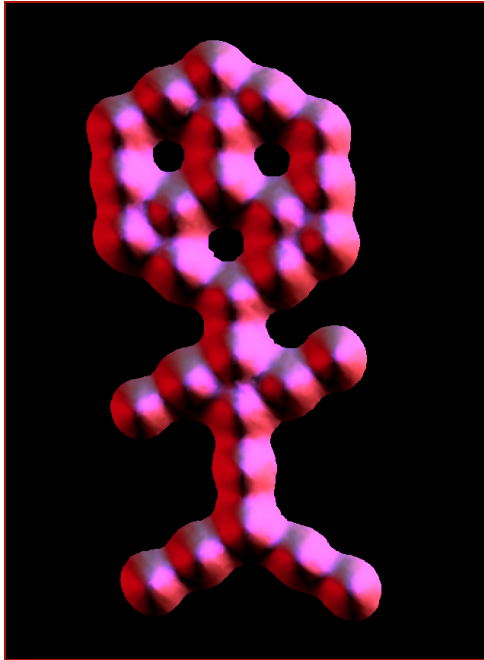


Schematic representation of the steps for molecular manipulation using a STM or AFM probe

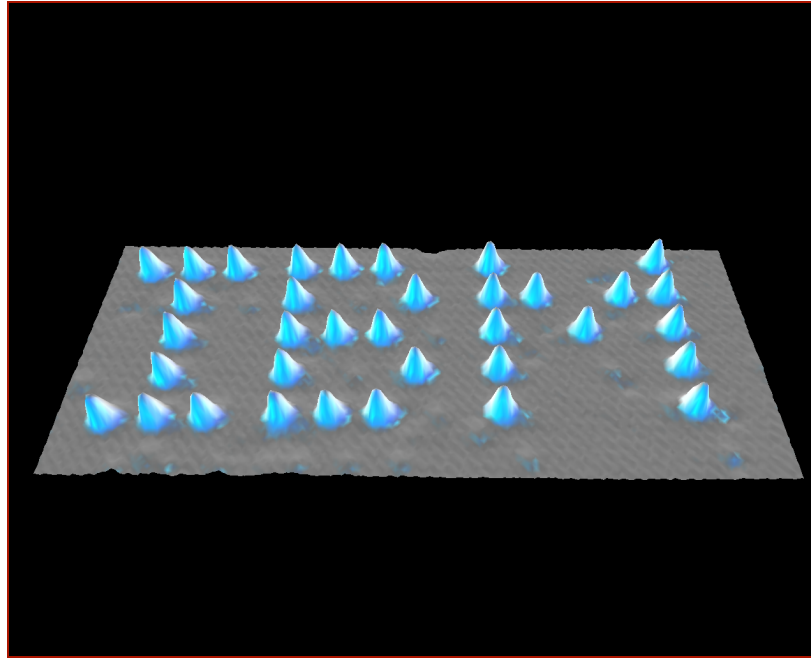


STM images of a 10nm x 10nm area near a step on Cu(100) showing the manipulation of a C60 molecule away from the step edge

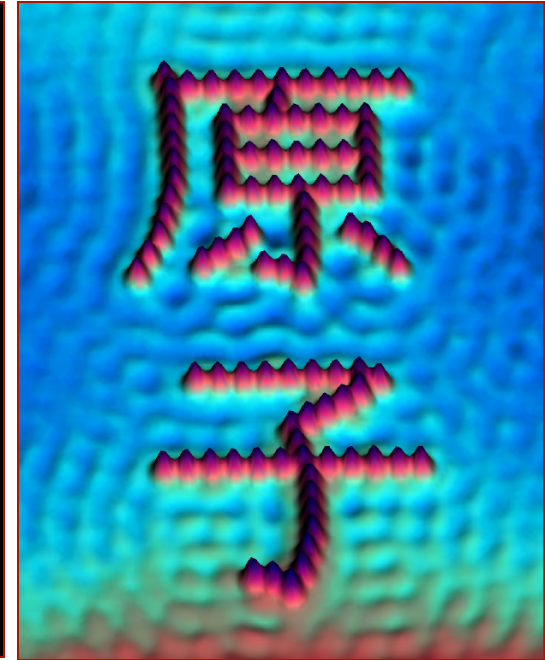
# Some IBM Molecular Manipulation



***Carbon Monoxide Man***  
***Carbon Monoxide on Platinum (111)***

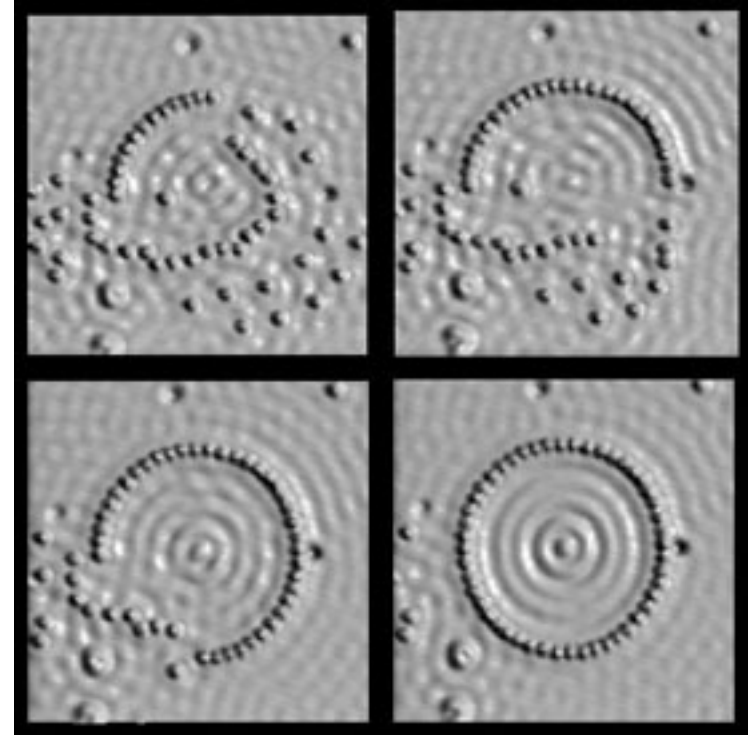
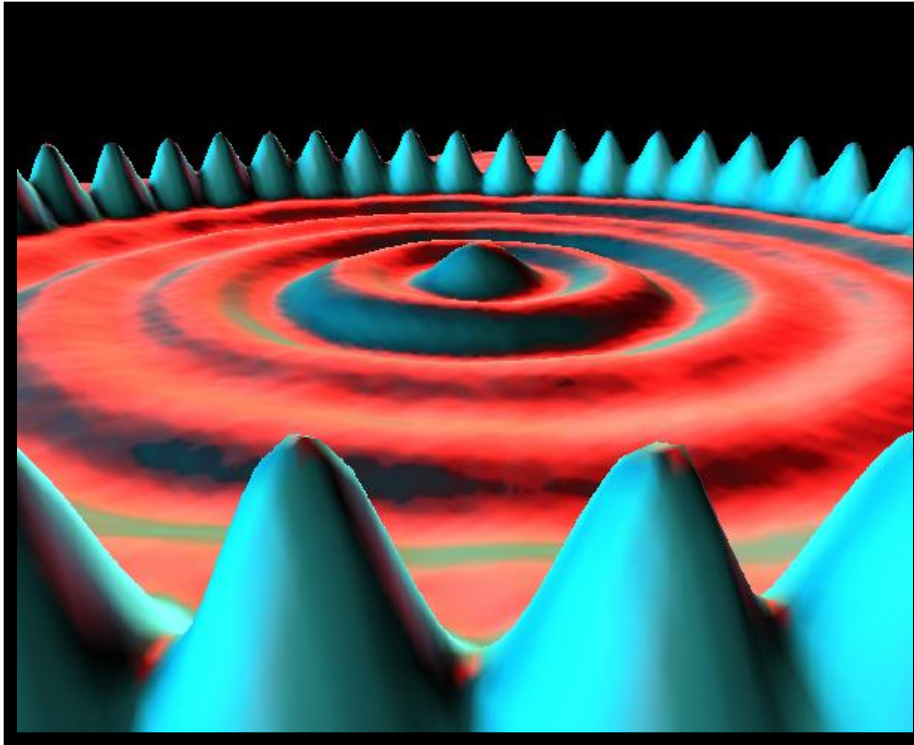


***The Beginning***  
***Xenon on Nickel (110)***



***Atom***  
***Iron on Copper (111)***

# IBM Quantum Corrals



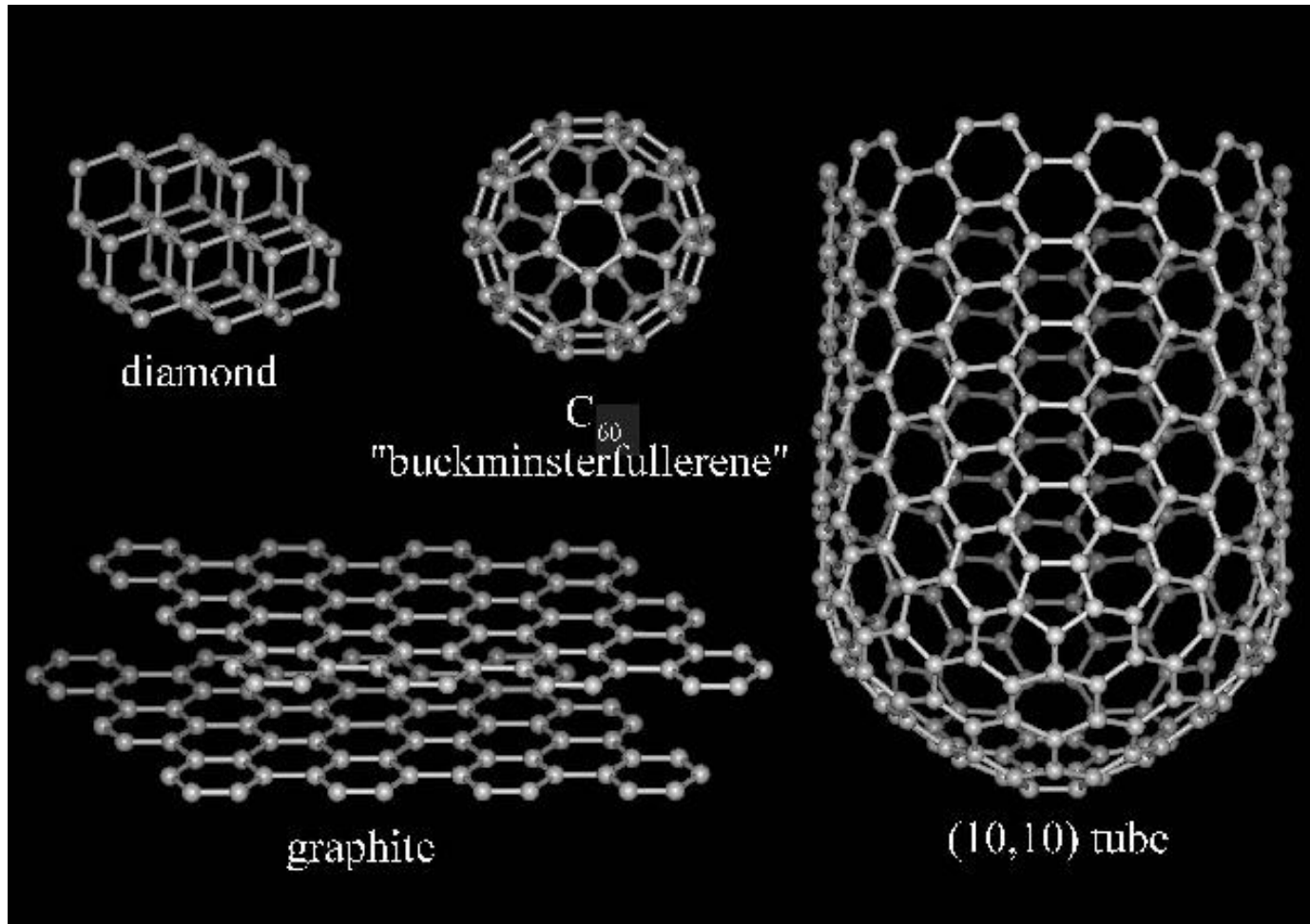
*Circular Quantum Corral - Iron on Copper (111)*

The discovery of the STM's ability to image variations in the density distribution of surface state electrons created in the artists a compulsion to have complete control of not only the atomic landscape, but the electronic landscape also. Here they have positioned 48 iron atoms into a circular ring in order to "corral" some surface state electrons and force them into "quantum" states of the circular structure. The ripples in the ring of atoms are the density distribution of a particular set of quantum states of the corral. The artists were delighted to discover that they could predict what goes on in the corral by solving the classic eigenvalue problem in quantum mechanics -- a particle in a hard-wall box.

# Carbon Nanotubes

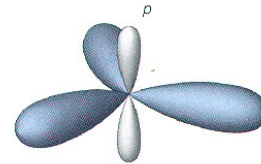
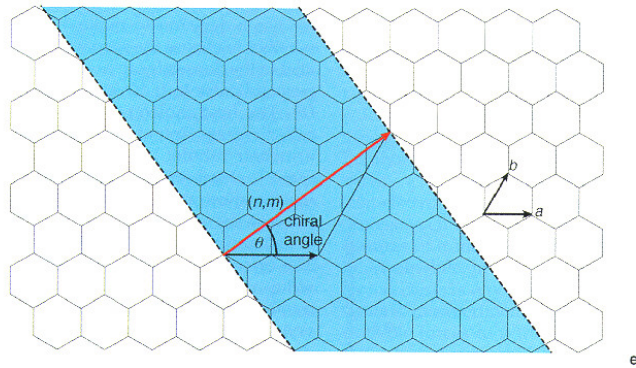
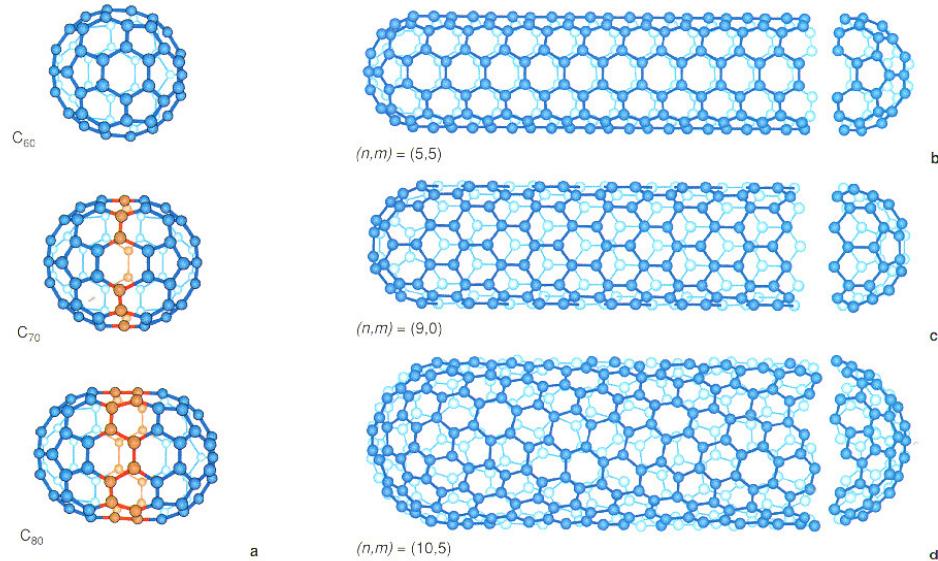


# Carbon Nanotube Structure



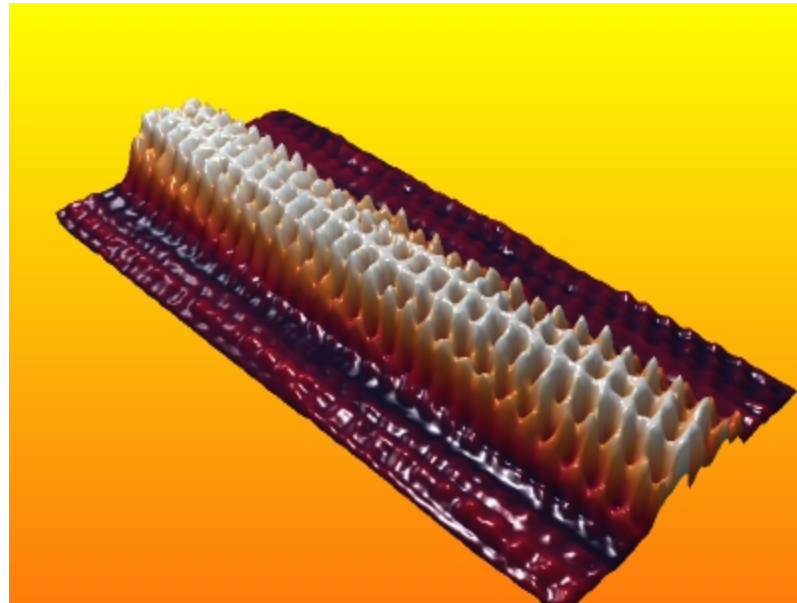
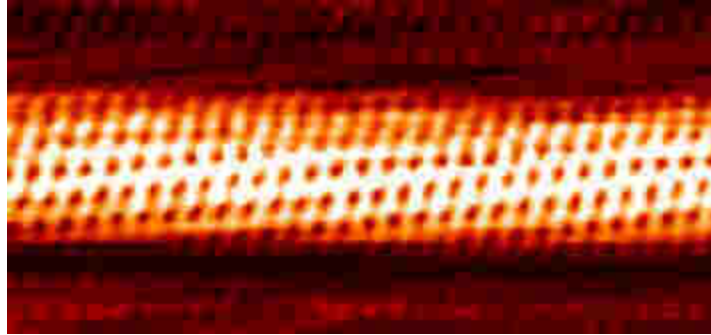
Richard E. Smalley  
<http://cnst.rice.edu/reshome.html>

# Carbon Nanotube Variations



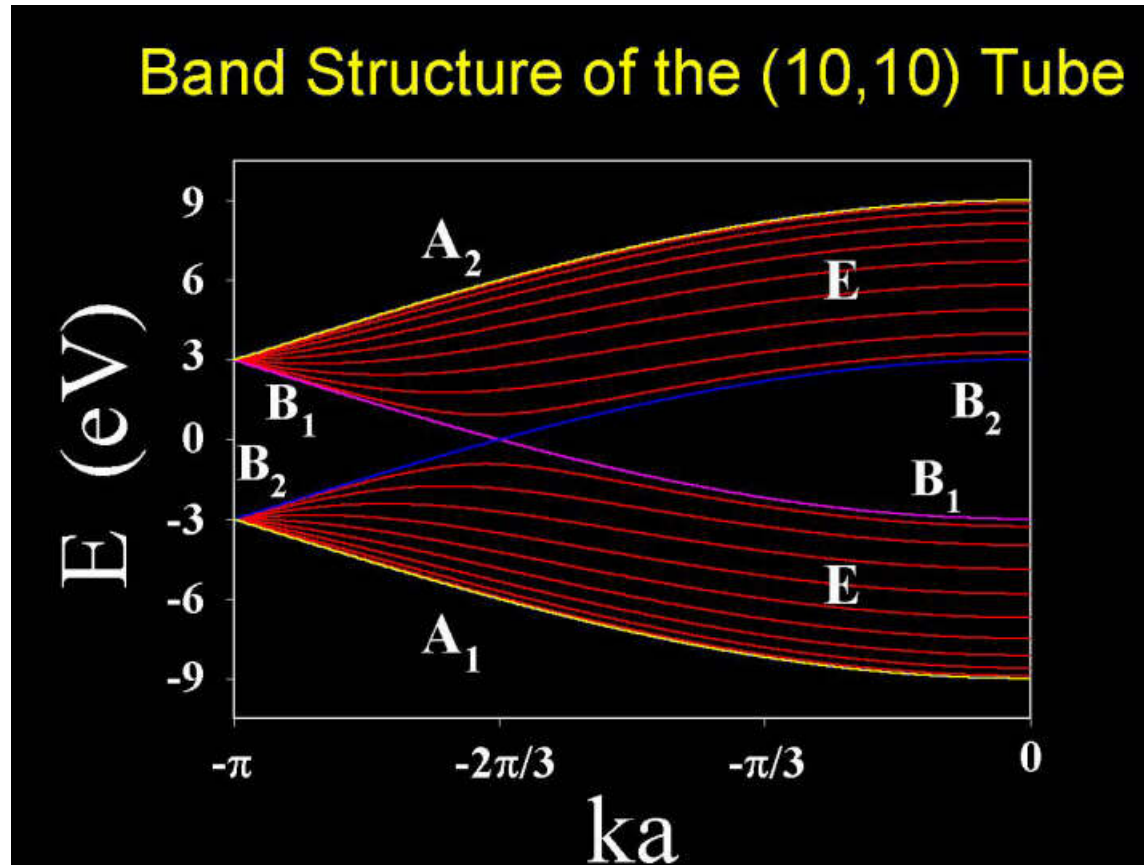
**Richard E. Smalley**  
<http://cnst.rice.edu/reshome.html>

# Carbon Nanotube STMs



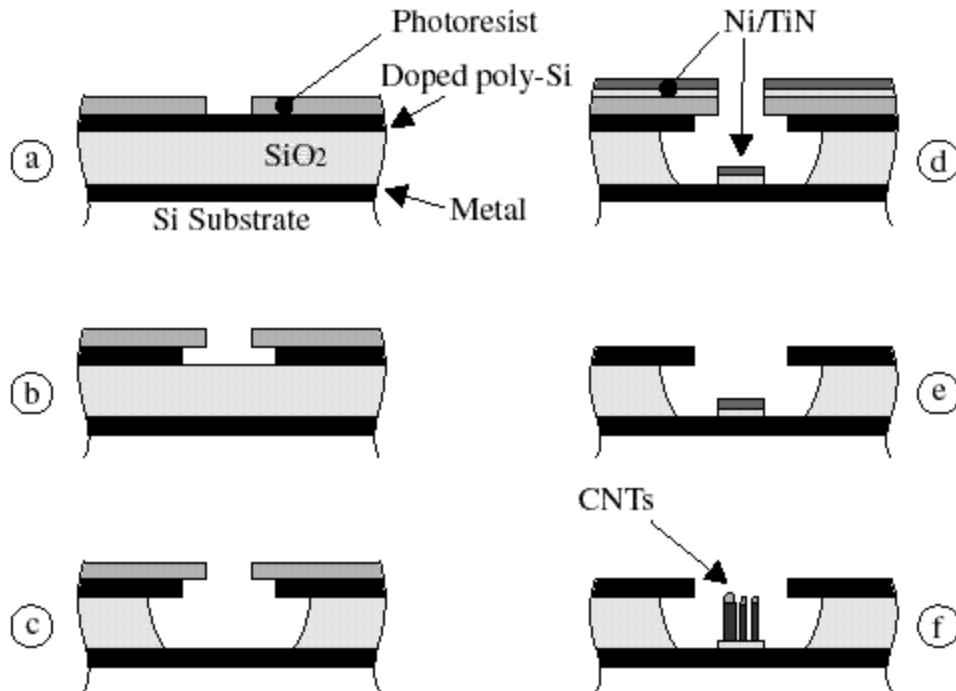
<http://www.mb.tn.tudelft.nl/nanotubes.html>

# Carbon Nanotube Variations



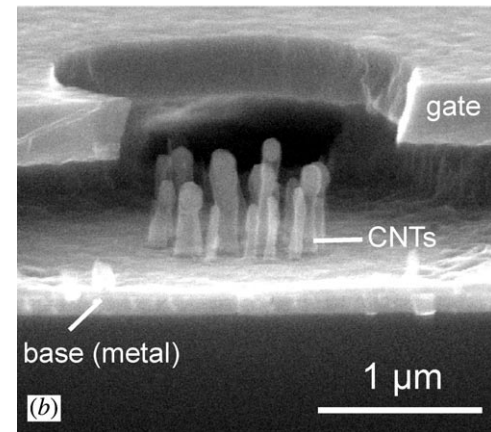
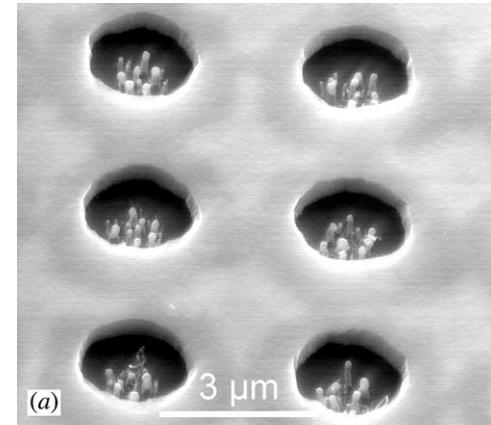
Richard E. Smalley  
<http://cnst.rice.edu/reshome.html>

# Carbon Nanotube Field-Emission



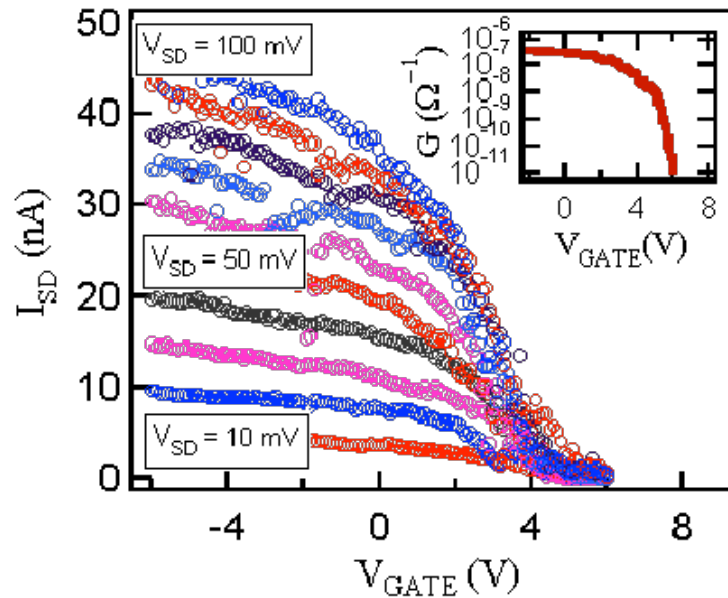
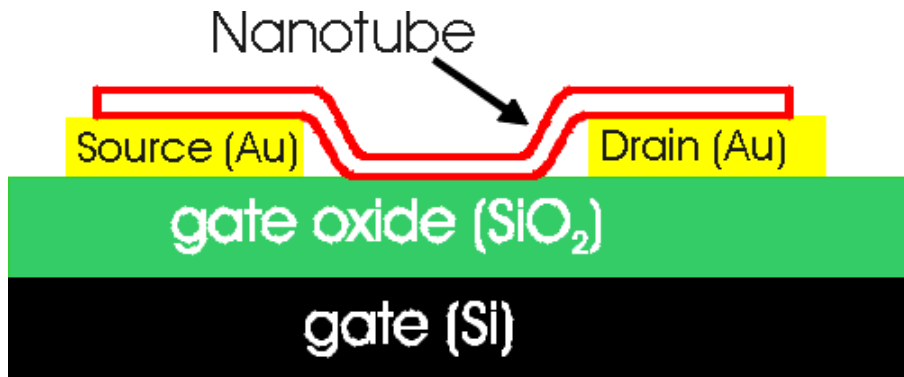
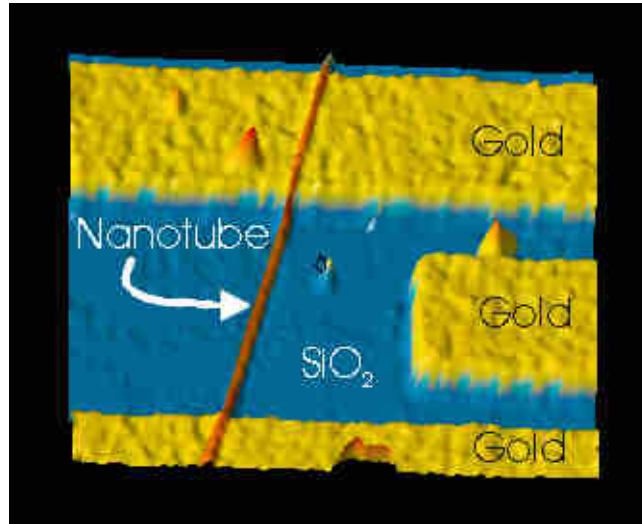
Self-aligned fabrication process for microcathodes with vertically-aligned CNTs as the emission source.

Nanotechnology 13 (2002) 1-4



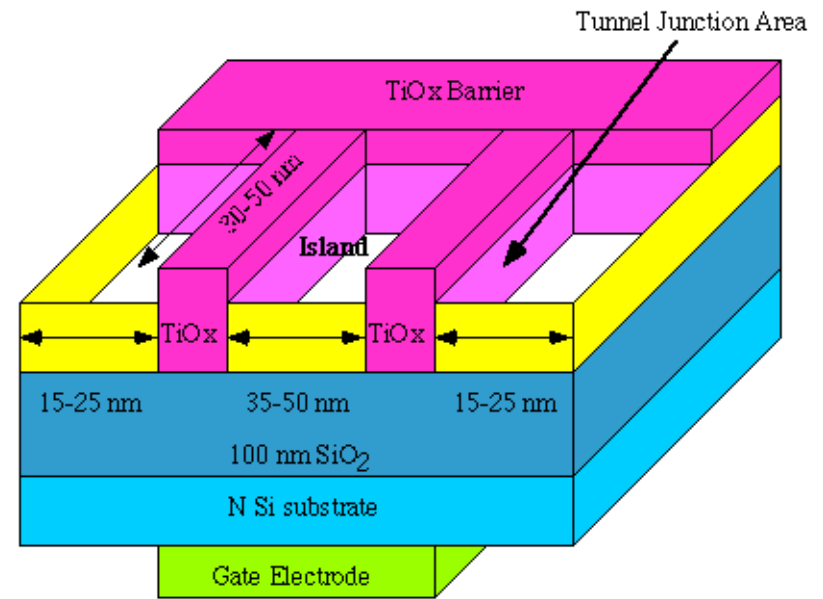
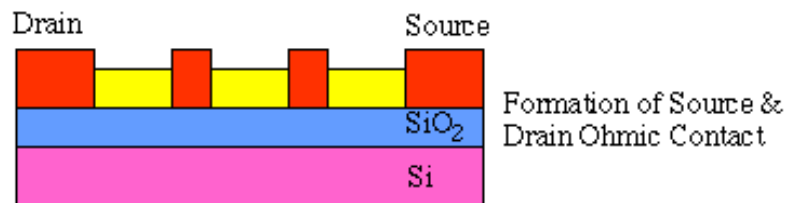
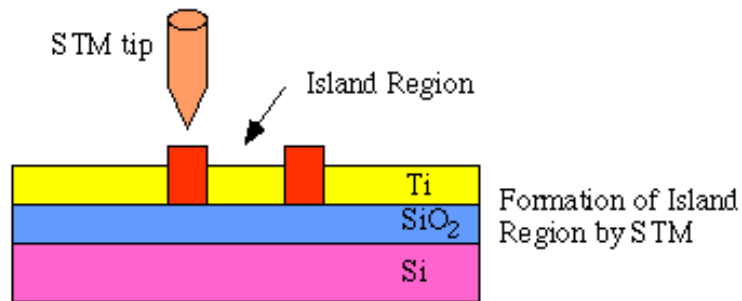
Tilted (45°) top (a) and cross-sectional (b) SEM images of a microcathode.

# IBM Carbon Nanotube FET

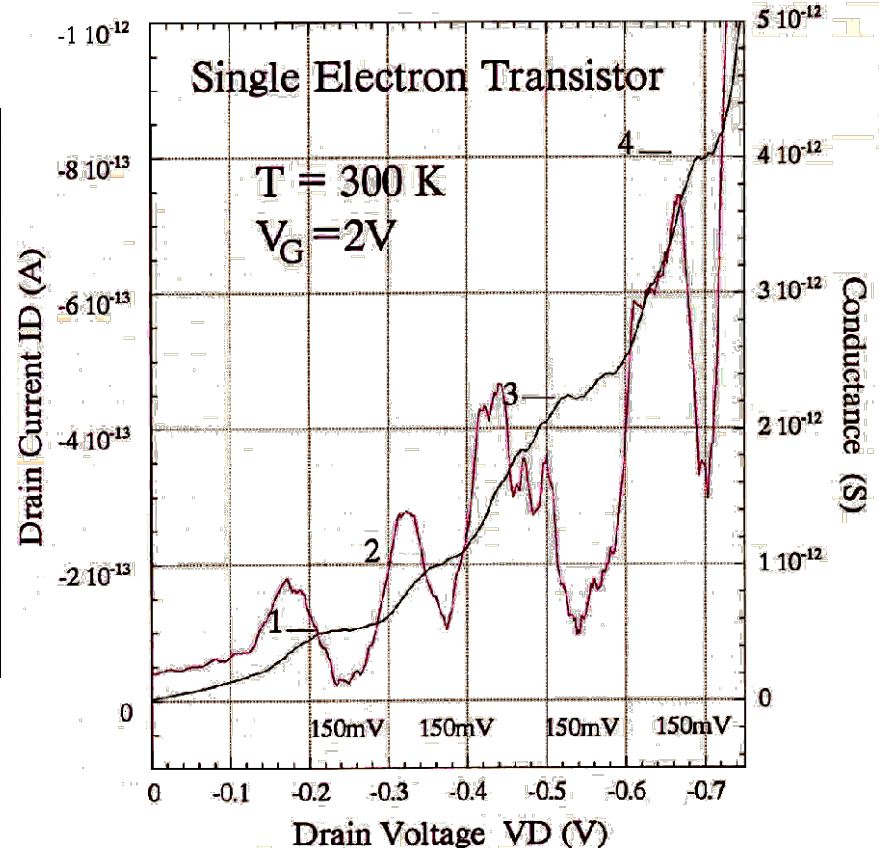
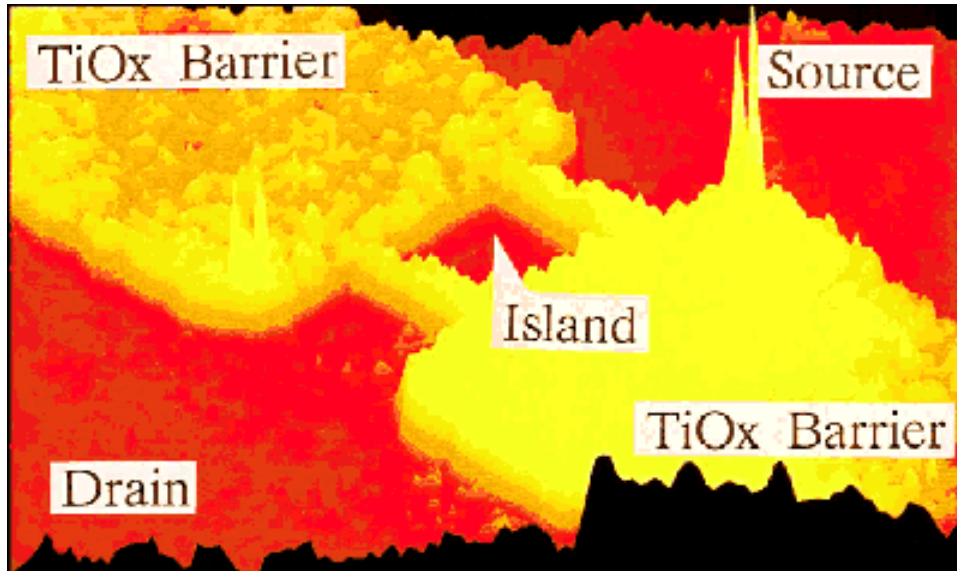


**The measured electrical characteristics of the nanotube-FETs show that the amount of current ( $I_{SD}$ ) flowing through the nanotube channel changes by a factor of 100,000 by changing the voltage applied to a gate ( $V_G$ ), as can be seen in the data above.  $G$  is the low bias conductance of the tube.**

# Single Electron Transistor (SET)

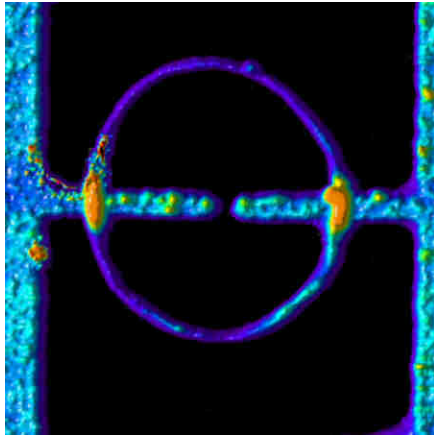


# Single Electron Transistor (SET)

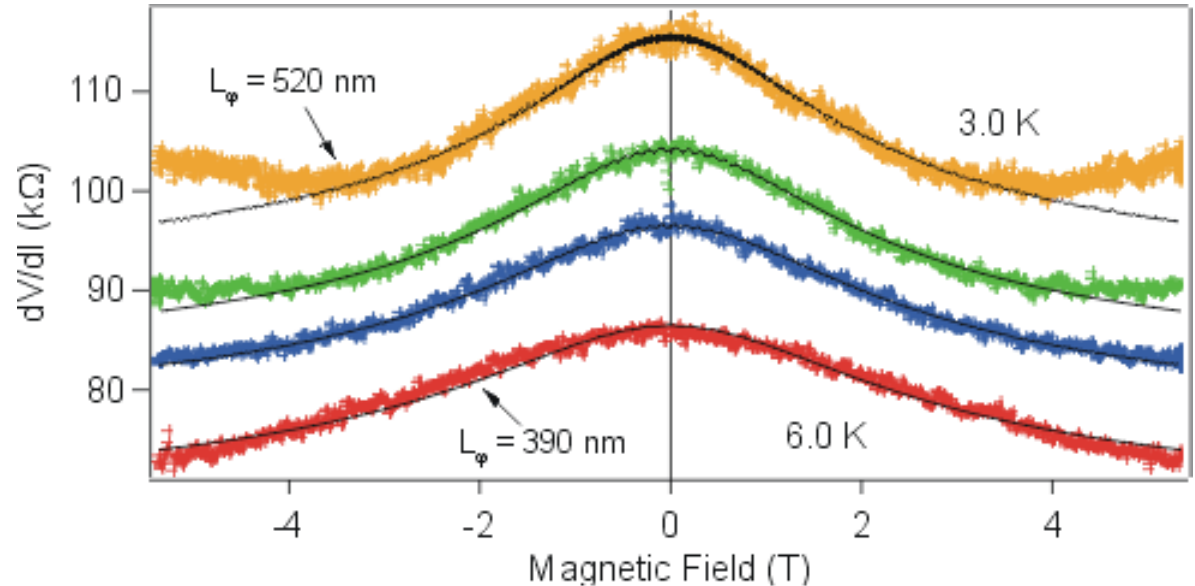
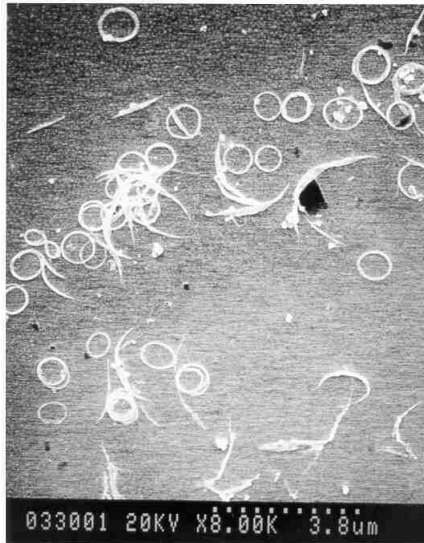




# IBM Nanotube Rings



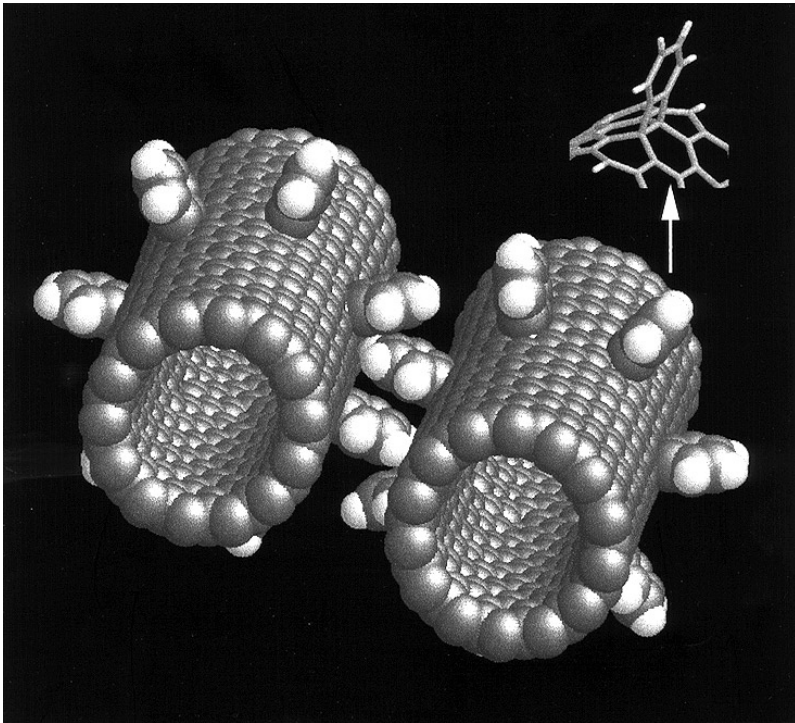
AFM of 1 $\mu$ m x 1.4nm ring



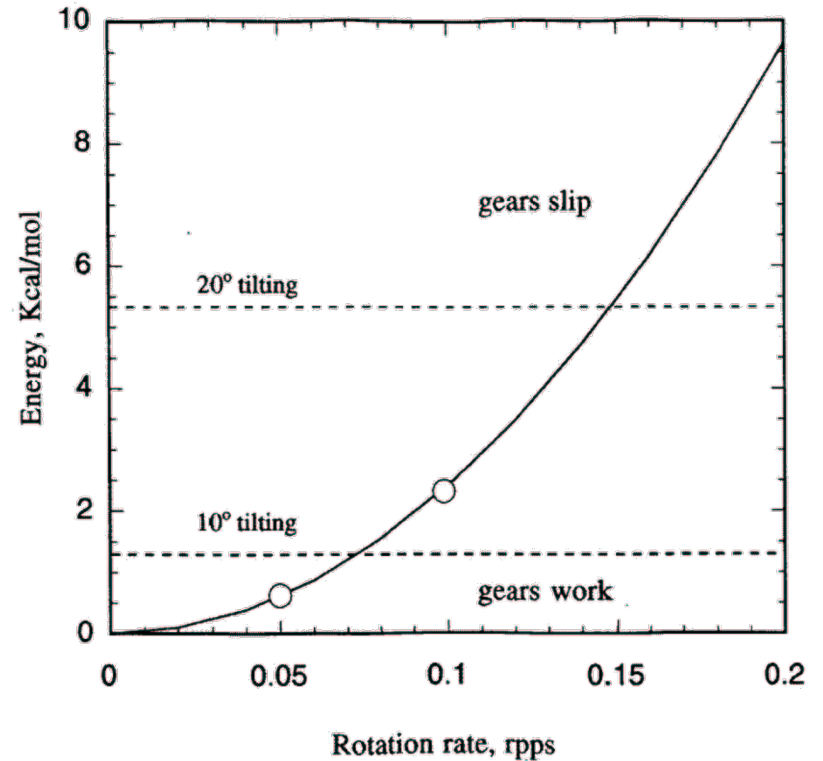
**The rings are 1-D conductors and at low temperatures, quantum interference phenomena dominate electrical transport through the tubes.**

**The plot above shows the resistance of a ring as a function of the magnetic field applied through it. The resistance is maximum at zero field because electrons propagating around the ring in opposite directions interfere with each other constructively at the point of injection, forming a standing wave. Applying a magnetic field breaks time-reversal invariance, and the constructive interference is lost.**

# Carbon Nanotube Gears

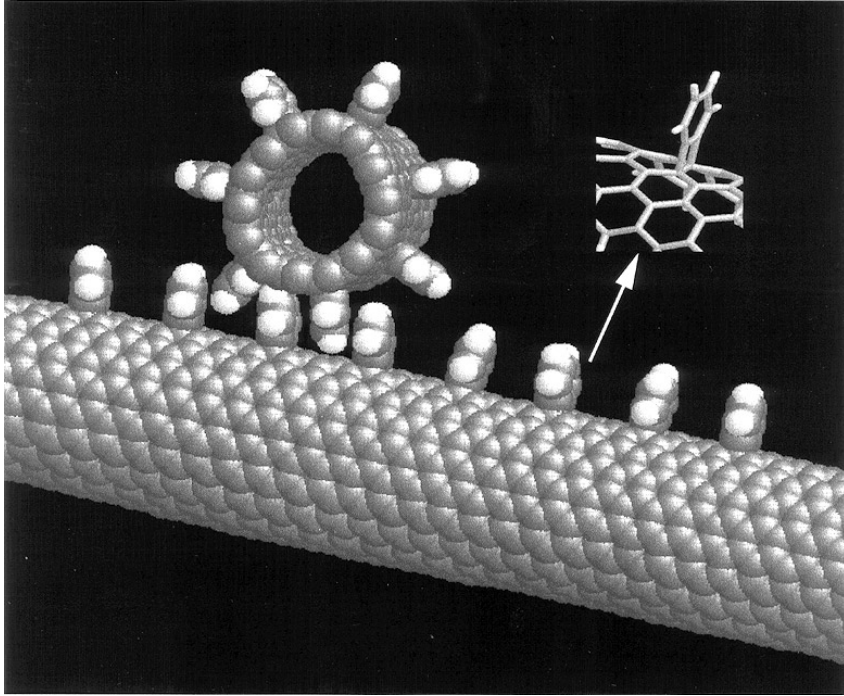


Carbon nanotube-based gears with benzene teeth.

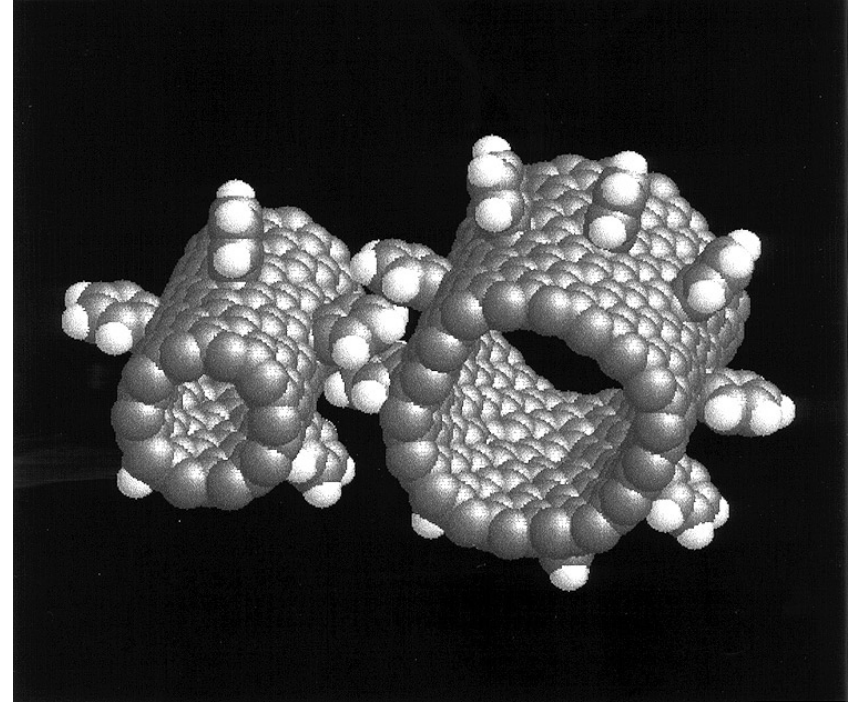


Predicting gear operations as a function of rotation rate and tooth tilting energy.

# Carbon Nanotube Gears

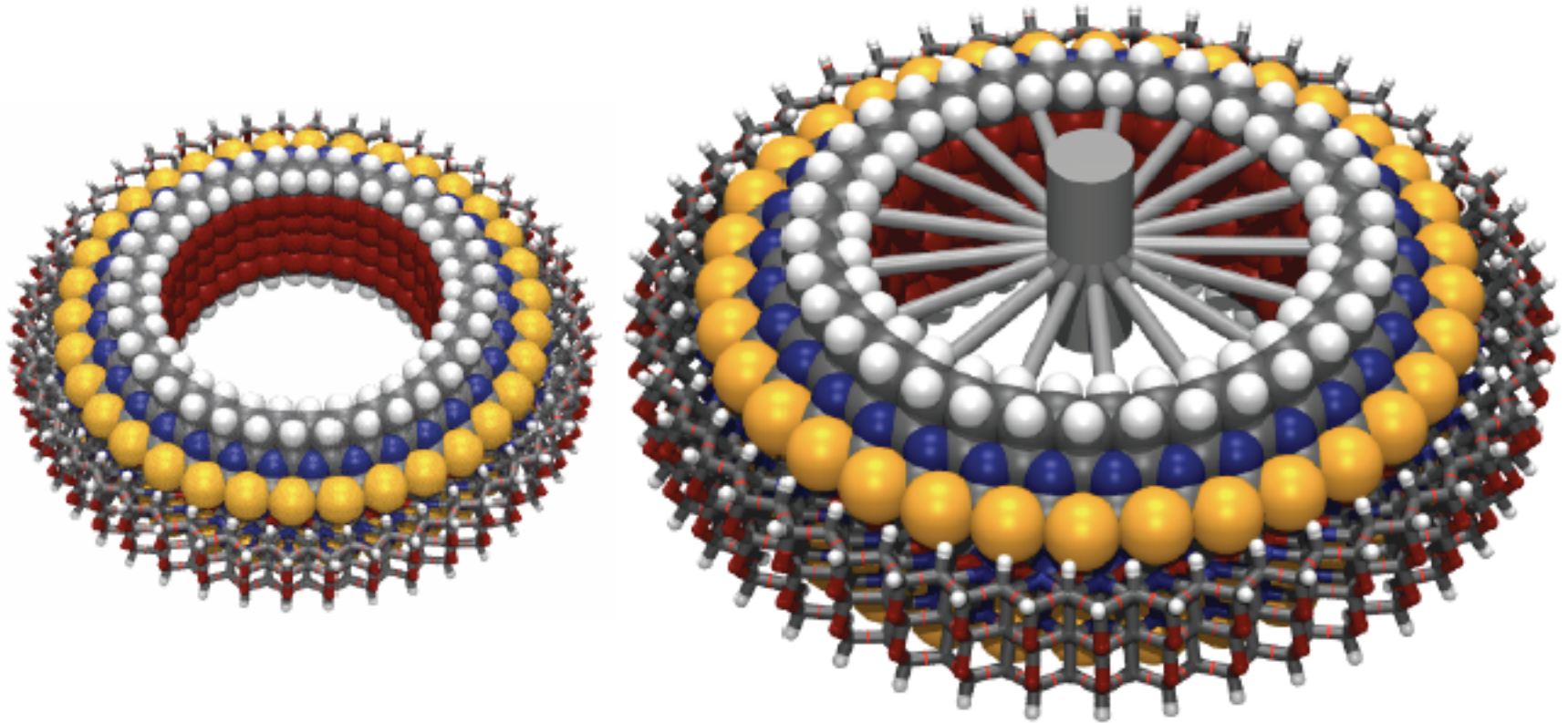


**Gear and shaft system model. There are three rings between each benzyne tooth on the shaft, but only two rings between teeth in the gear.**



**Small and large gear configuration.**

## **Sleeve Bearing**



**<http://www.nanoengineer-1.com/mambo/>  
(click on Gallery)**

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## Planetary Gear

**Name: MarkIII(I**

**Designer: K. Eric  
Drexler**

**Date: 2004**

**Number of**

**components: 1\_**

**Number of atoms:**

**3,853**

**Width: 4.2 nm**

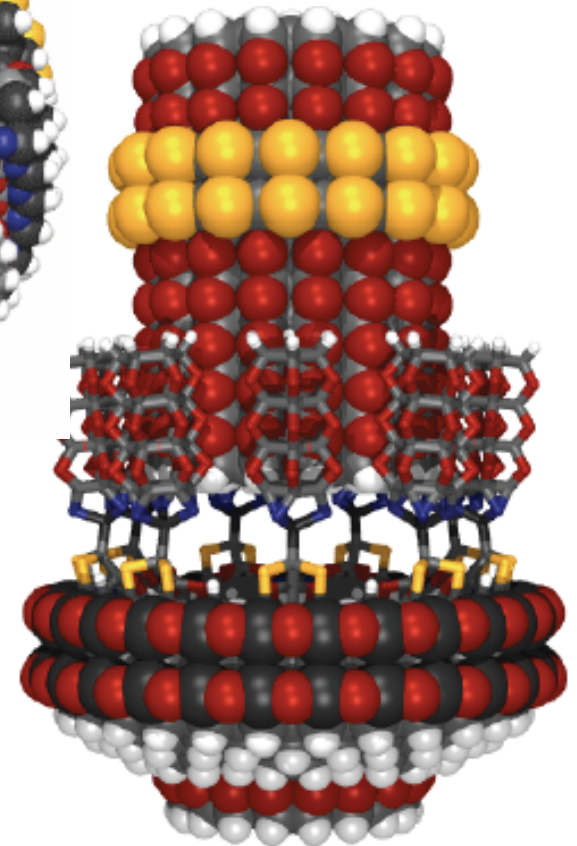
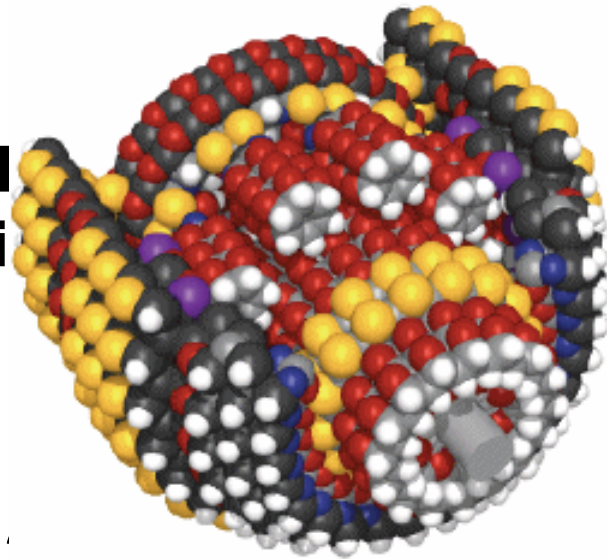
**Height: 4.2 nm**

**Depth: 4.2 nm**

**Gear Ratio: 45:16**

**Speed Ratio:**

**2.8125:1**

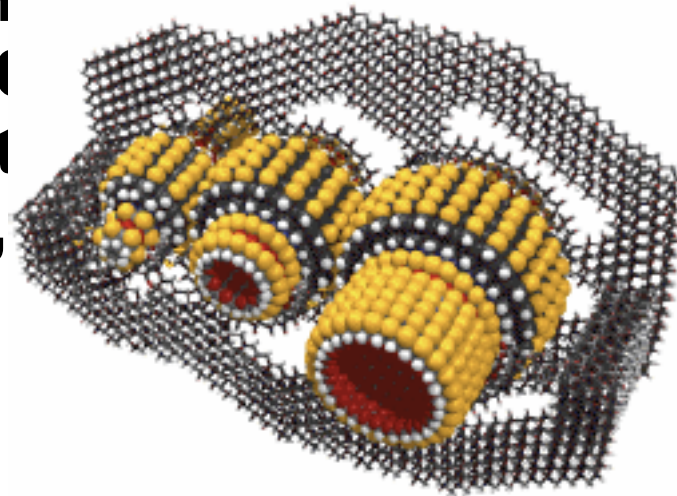


<http://www.nanoengineer-1.com/>

**mambo/ (click on Gallery)**

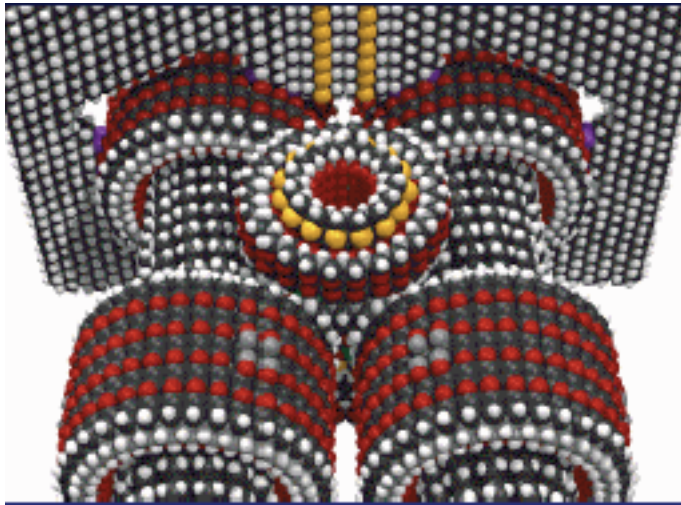
## **Speed Reducer Gears**

**Designer: Mark Sim**  
**Date: August 31, 200**  
**Number of component**  
**Number of atoms: 15,**  
**Width: 11.3 nm**  
**Height: 7.5 nm**  
**Depth: 5.6 nm**  
**Gear Ratio: 13:6**  
**Speed Ratio: 2.167:1**  
**Torque (large gear): 10**



**nN-nm**  
**[http://www.nanoengineer-1.com/  
mambo/](http://www.nanoengineer-1.com/mambo/) (click on Gallery)**

## **Worm Drive Assembly**



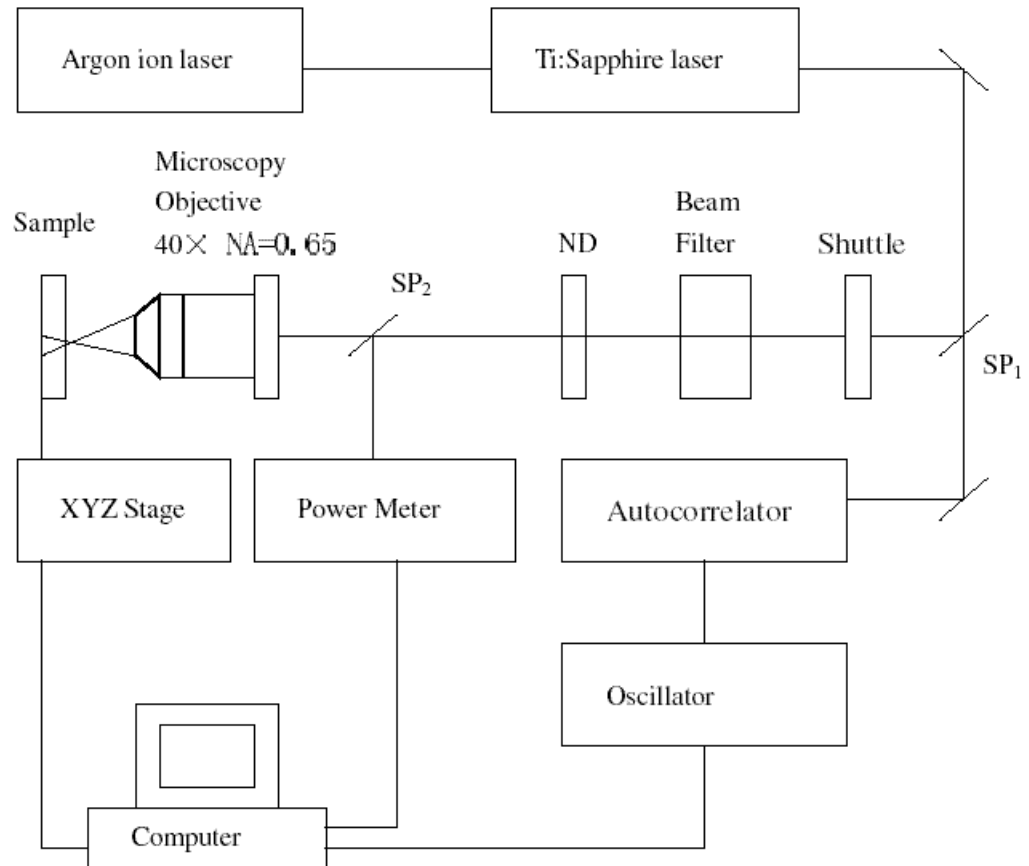
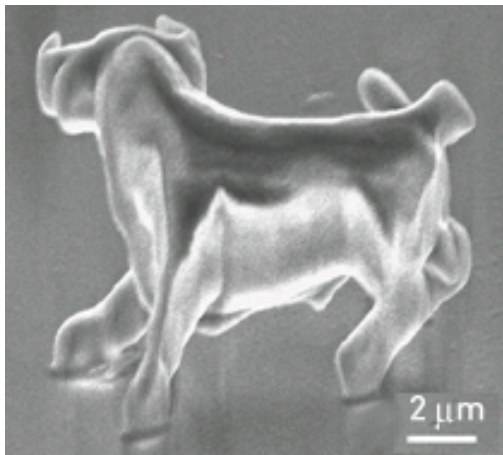
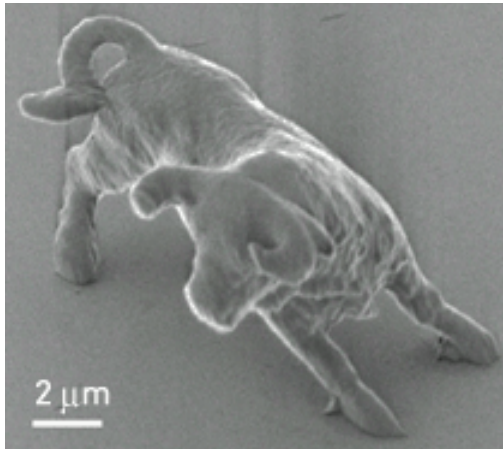
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<http://www.nanoengineer-1.com/mambo/> (click on Gallery)

# **3-D Nanofabrication**



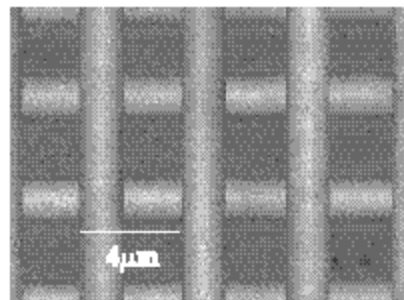
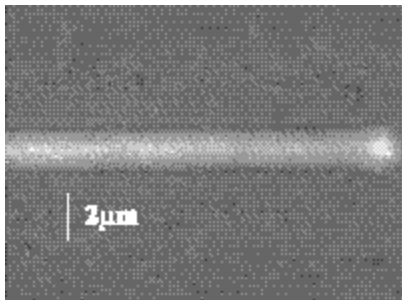
# “World’s Smallest Bull”



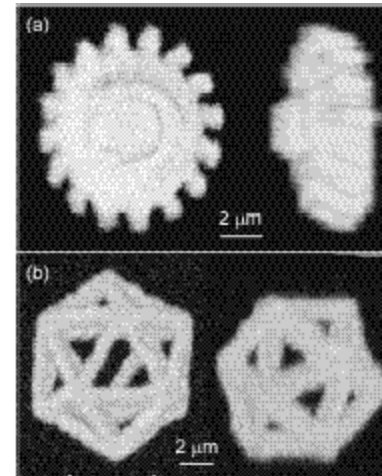
The optical system for two-photon polymerization. SP, beamsplitter.

S. Kawata *et al*, Nature **412** (2001) 697

# Other 2-Photon Structures



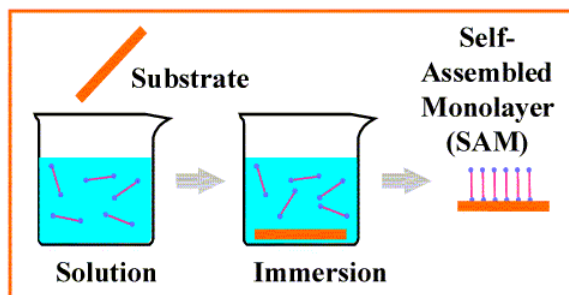
**Direct write rods using 500uW,  
800nm femtosecond laser**



**Reconstructed 3D two-photon  
fluorescence images of (a) a  
gearwheel and (b) an icosahedron.**

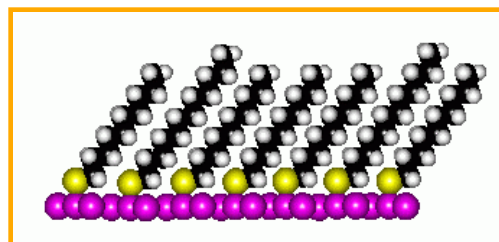
# **Self Assembled Monolayers (SAM)**

# Self-Assembled Monolayer (SAM)

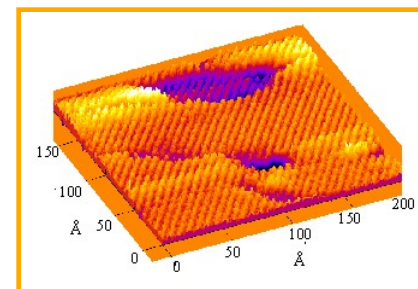


SAM is formed by soaking a piece of sample into a solution containing molecules.

Self-assembled monolayers (SAMs) are ordered molecular structures formed by the adsorption of an active surfactant on a solid surface. For example, alkanethiol monolayer films are assembled in a close-packed structure with a nearest neighbor spacing of 5.0 Å on Au(111) lattice.



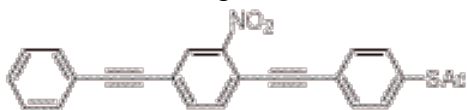
A schematic of SAM (alkanethiol molecules in this case) formation on a sample.



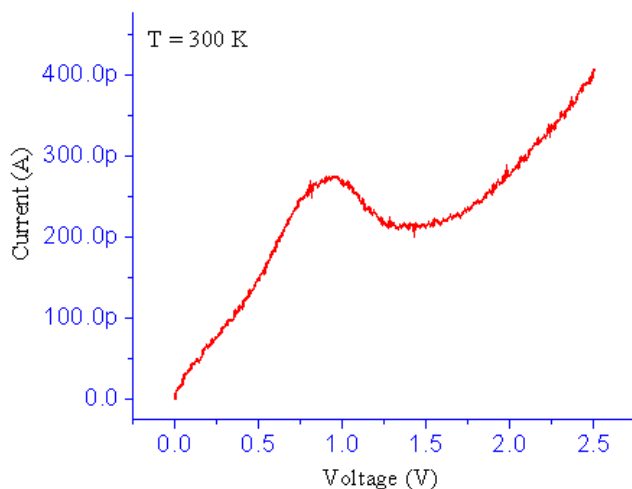
An ambient STM image of a typical alkanethiol (dodecanthiol in this case) SAM on Au(111) .

# Self-Assembled Switches

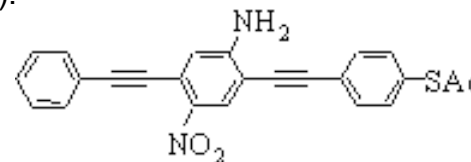
Using the nanopore process and a 2'-amino-4,4'-di(ethynylphenyl)-5'-nitro-1-benzenethiolate SAM we have demonstrated low temperature negative differential resistance (NDR) and using a 4,4'-di(ethynylphenyl)-2'-nitro-1-benzenethiolate SAM we have demonstrated room temperature NDR. These devices work as two terminal switches: at a specific applied voltage current will flow (device ON) at all other voltage values current does not flow (device OFF).



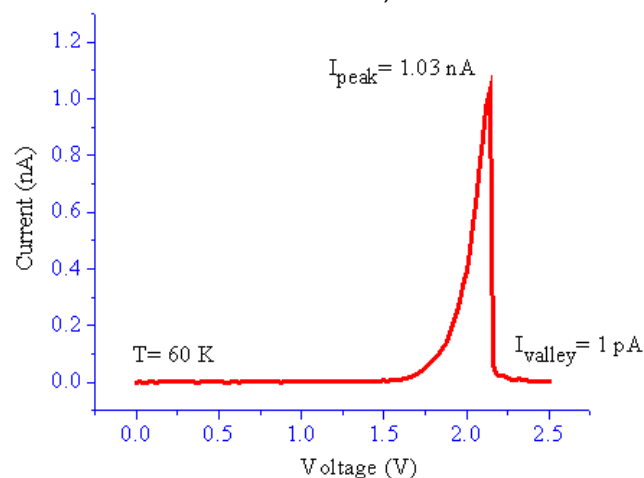
4,4'-di(ethynylphenyl)-2'-nitro-1-benzenethiolate ("nitro" for short)



I-V plot of nitro nanopore at 300K.  
Shows NDR with a peak to valley ratio of 1.5:1 and a current density of 16 Amps/cm<sup>2</sup>.

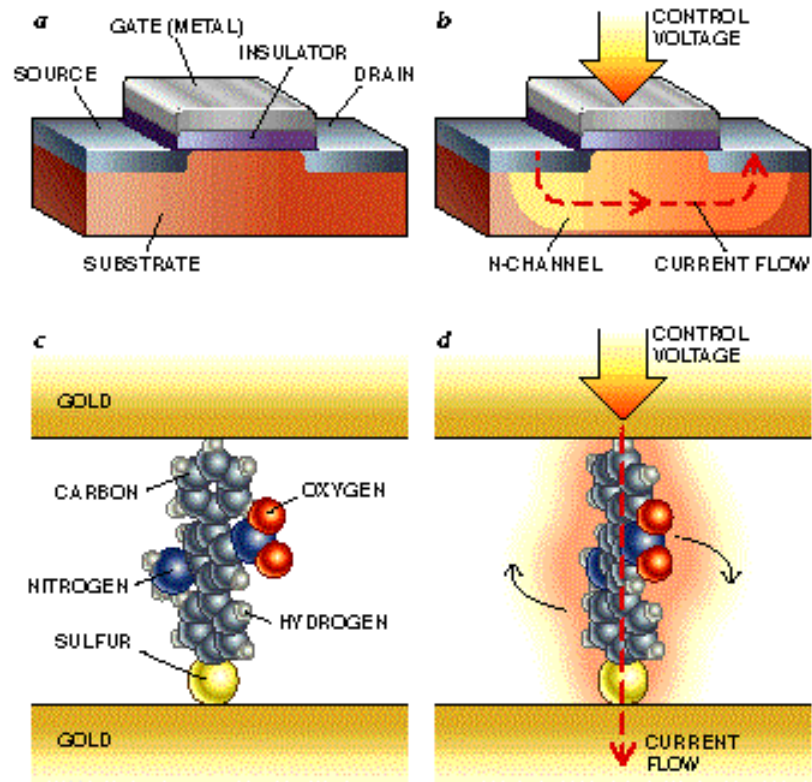


2'-amino-4,4'-di(ethynylphenyl)-5'-nitro-1-benzenethiolate ("nitro-amine" for short)



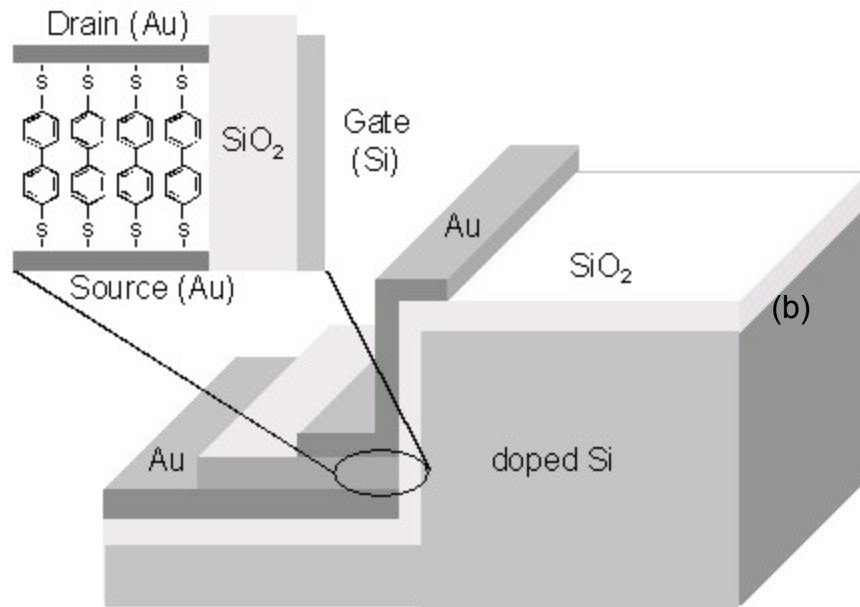
I-V plot of nitro-amine nanopore at 60K.  
Shows very strong NDR with a peak to valley ratio of 1000:1 and a current density of 50 Amps/cm<sup>2</sup>.

# The Switching Mechanism:



In a conventional microelectronics transistor (a) the conduction path is turned on using an applied voltage at the gate electrode (b). Similarly, the conduction path through a molecular switch (c) is turned on by an applied voltage. The applied voltage is believed to cause a conformational shift which, in concert with the charging of the molecule, opens the conduction pathway (d).

# Bell Labs SAM Molecular Transistor



- mobility of  $>300 \text{ cm}^2/\text{V-s}$
- gm of 120 mA/V (large device)
- demonstrated inverter gain of 8

*Nature* **413**, 713 - 716 (18 Oct 2001)

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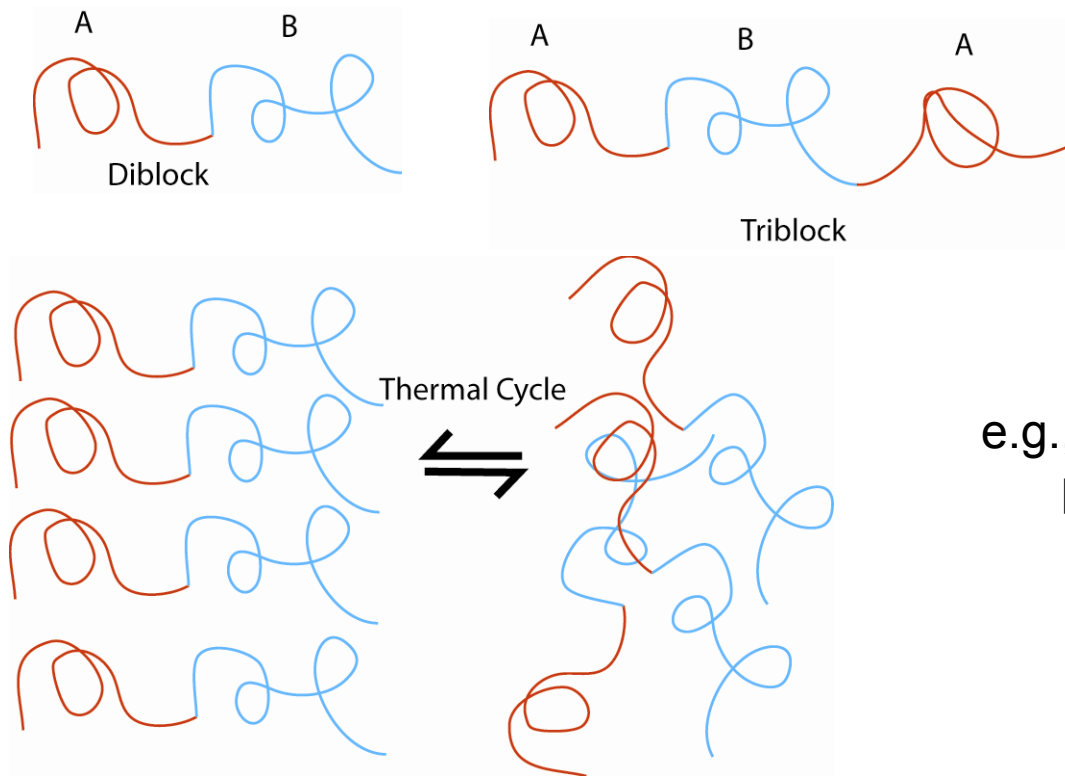
# Polymer Self-Assembled Masking



# Block copolymer masks

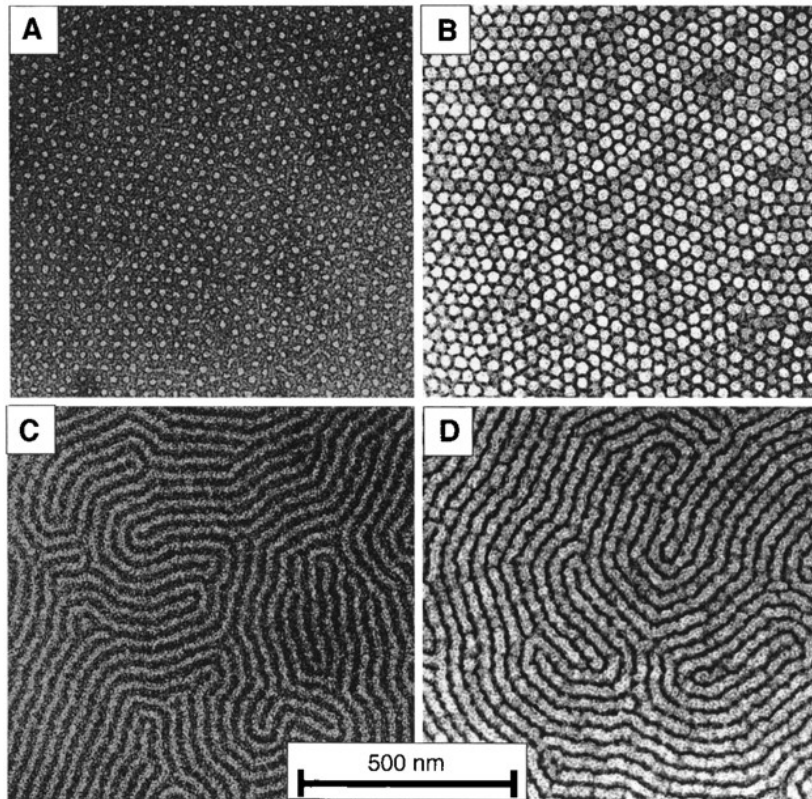
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- Phase separation of incompatible block copolymers



# Self-assembled masks

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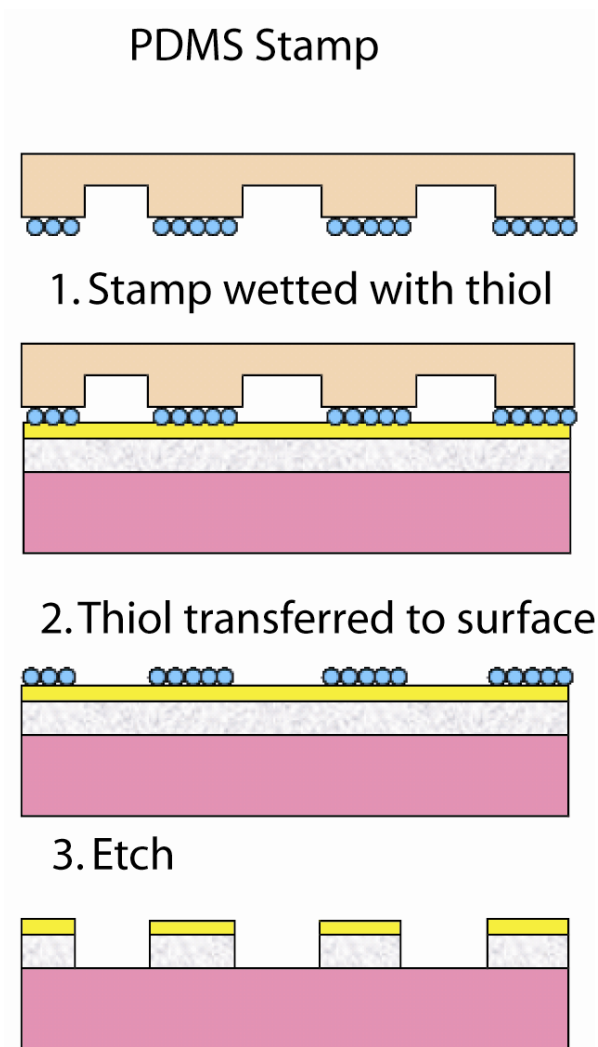
TEM images showing:

- (A) a spherical micro-domain monolayer film after removal of poly butadiene by ozone treatment
- (B) the resulting array of holes in silicon nitride after RIE
- (C) cylindrical microdomains in which the darker regions are osmium stained poly butadiene domains
- (D) the resulting cylindrical pattern etched into the silicon nitride surface

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# Stamp-Based Lithography

# PDMS Stamp Lithography

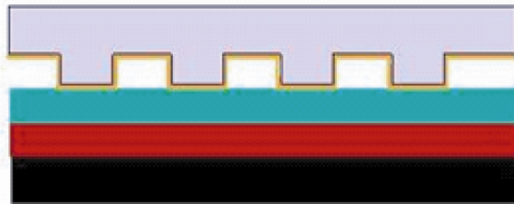


Soft imprint lithography using a polydimethylsiloxane stamp to transfer chemicals to the surface of a wafer to be etched. Here the material acts as an etch resist but it could also form other functions such as a DNA molecule for a “gene chip”.

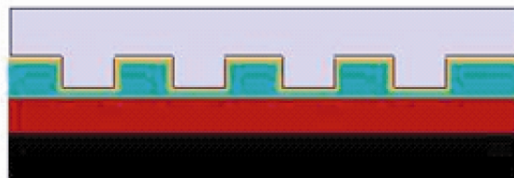
# Nanoimprint Lithography

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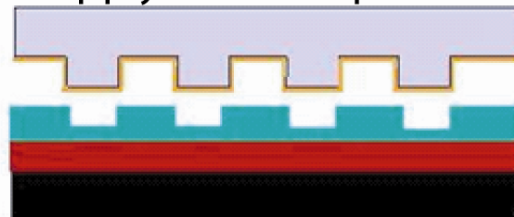
## Nanoimprint Lithography



1. Stiff stamp



2. Apply heat and pressure



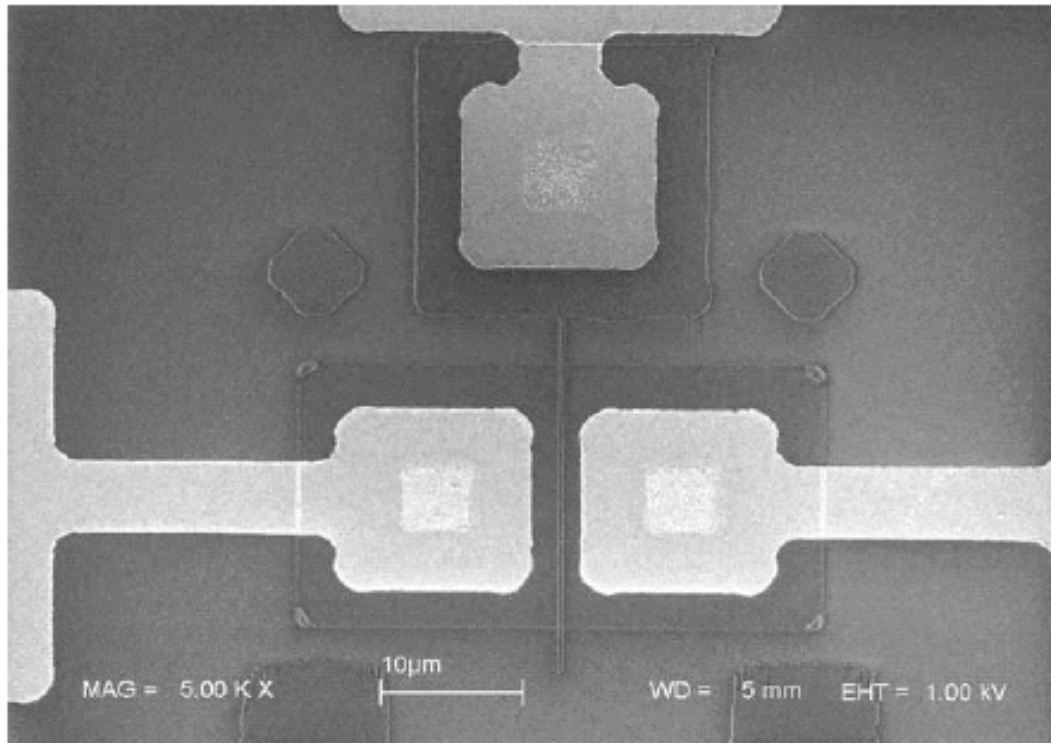
3. Withdraw stamp



Nanoimprint lithography with a hard stamp. The stamp is pressed into a heated thermoplastic on the surface of the wafer at a temperature above the glass transition temperature of the plastic. The plastic is then cooled and the stamp removed to leave a patterned resist.

# Nanoprinted Transistor

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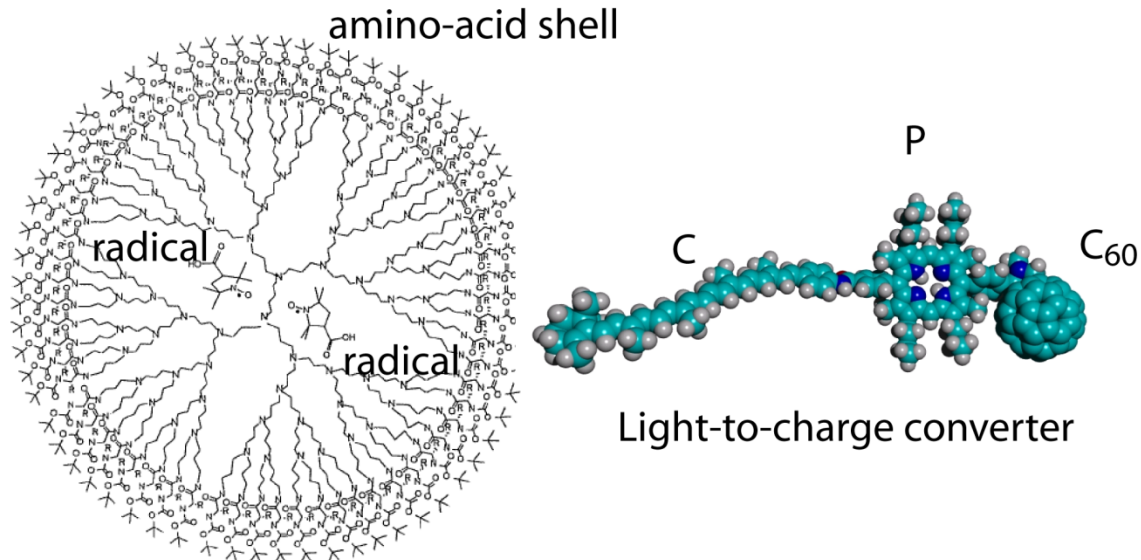


MOSFET with 60nm gate length. All steps were carried out using nanoprint methods.

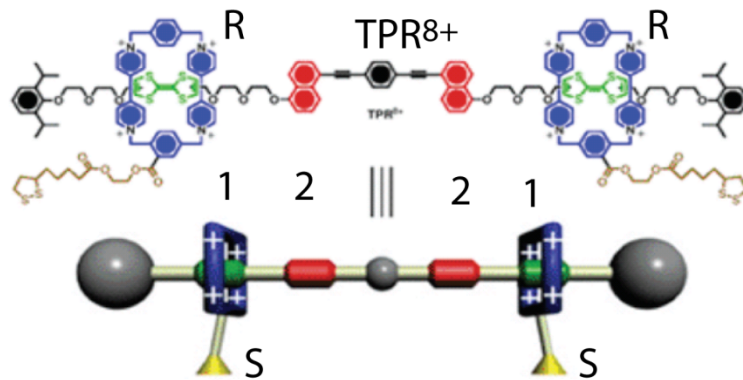
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# Organic Synthesis

# Organic Synthesis



Dendrimer encapsulating dyes

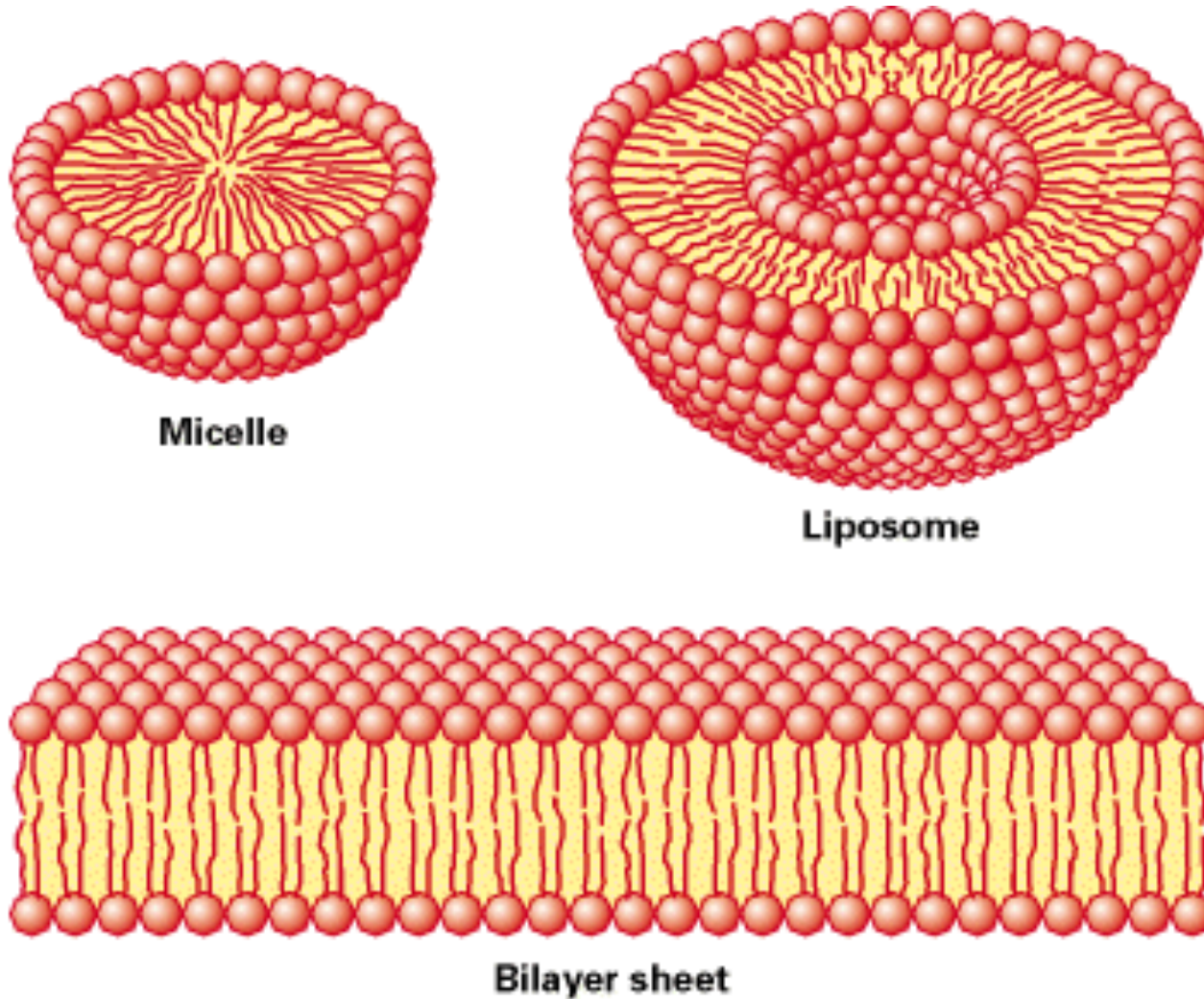


"Nano-muscle" actuator based on rotaxanes



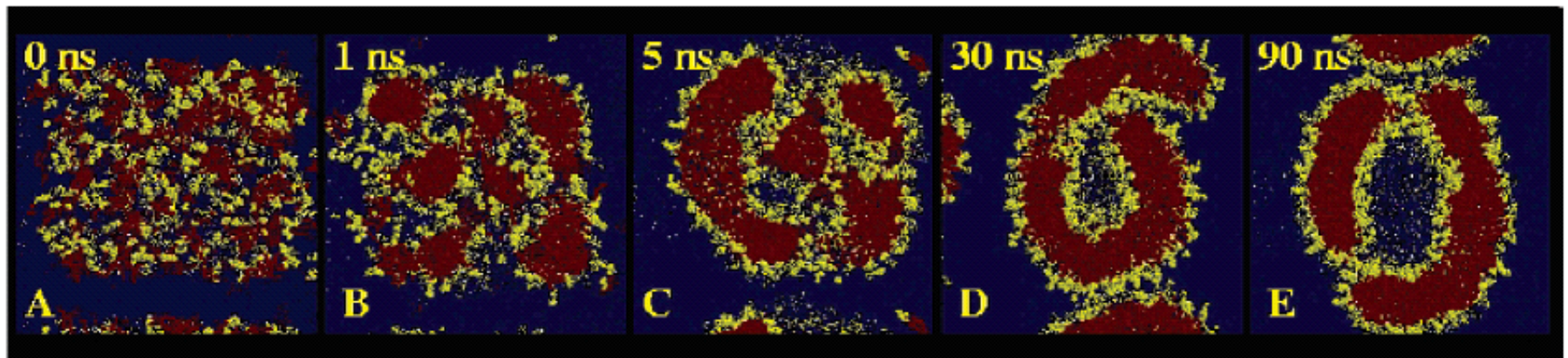
# Self-assembled amphiphilic structures

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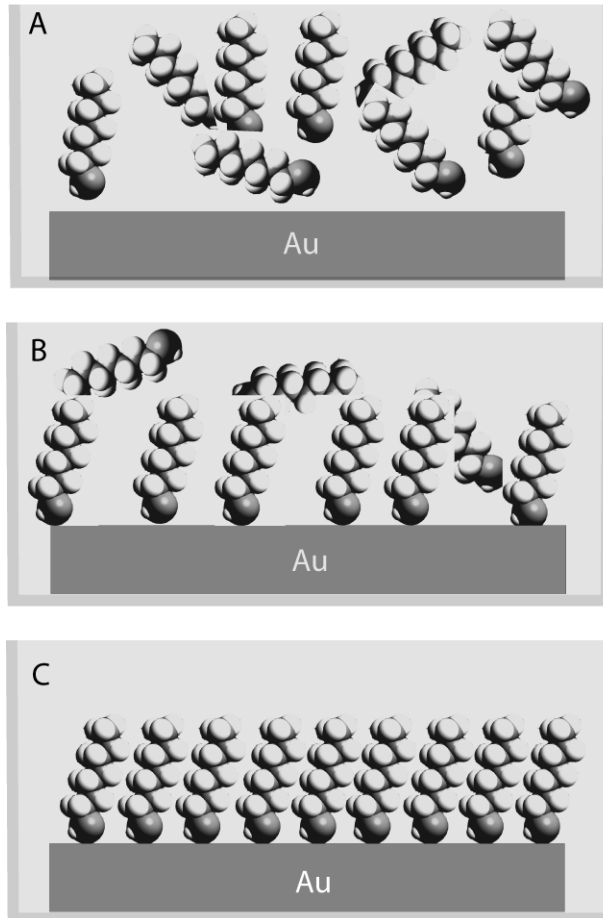
# MD Simulation of vesicle formation

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# Self-assembled monolayers

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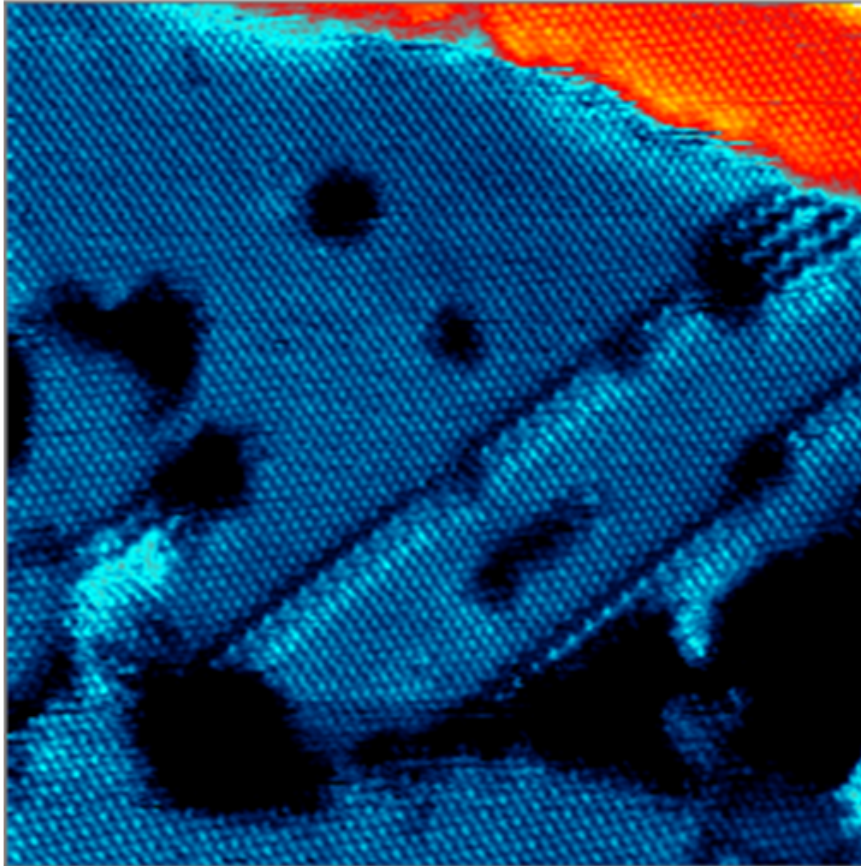
Preparation of alkanethiol self-assembled monolayers.

- A) A flat gold substrate is immersed in solution
- B) Containing alkane molecules terminated in a thiol (S-H) group. The sulfur binds to the gold pulling the molecule down.
- C) After diffusion on the surface they form into an organized monolayer

Different head groups or mixtures can be used on the alkanethiol.

# Self-assembled monolayers

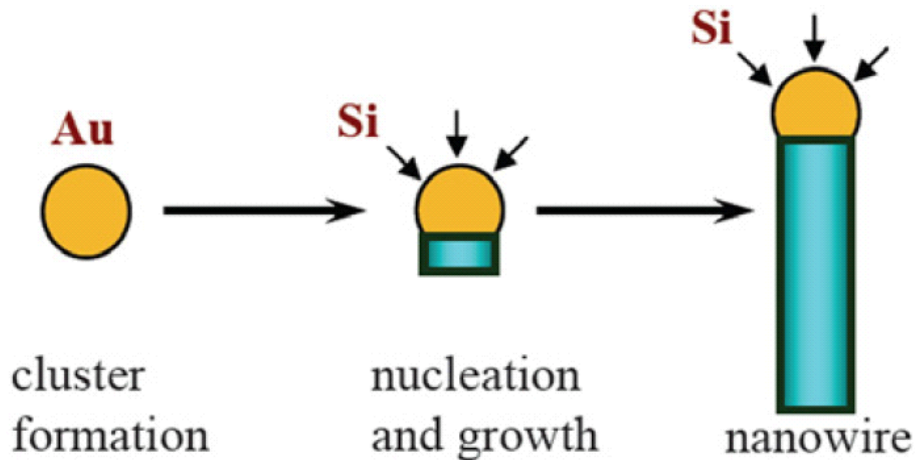
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High-resolution STM image of dodecanethiol monolayer on a Au(111) surface. The image size is 40nmx40nm. Note the hexagonal packing of the individual molecules.

# Growth of silicon nanowires

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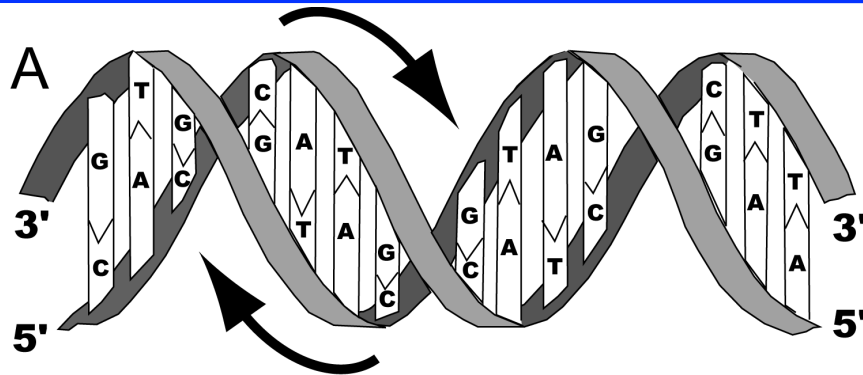


A gold nanoparticle serves as the nucleation site for growth of the nanowire. The gold is heated to a temperature such that when bombarded with Si (by CVD) it forms a Au-Si eutectic (having a lower melting point than either). This results in the growth of a Si nanowire from the base of the nanoparticle.

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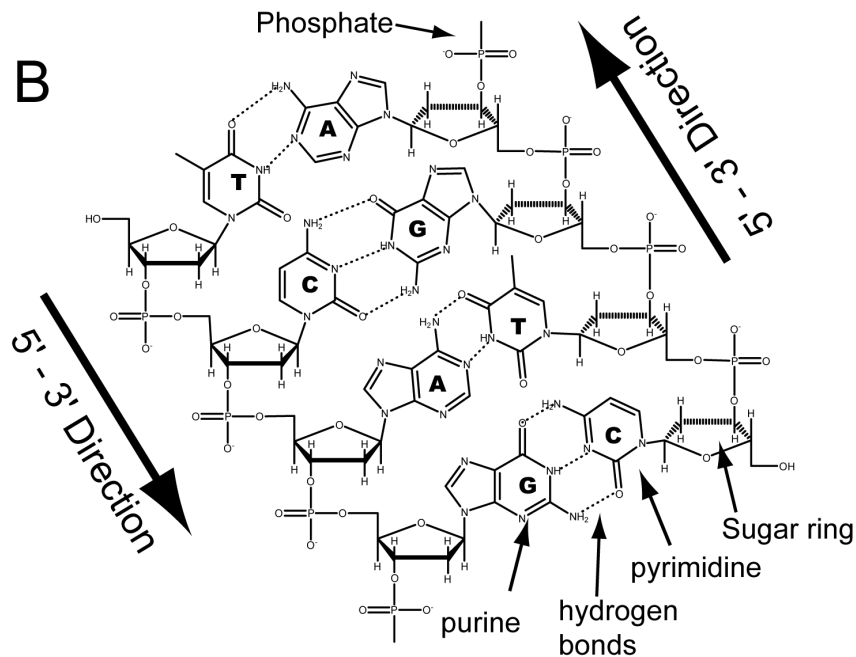
# DNA

# DNA Technology



Structure of the DNA double helix.

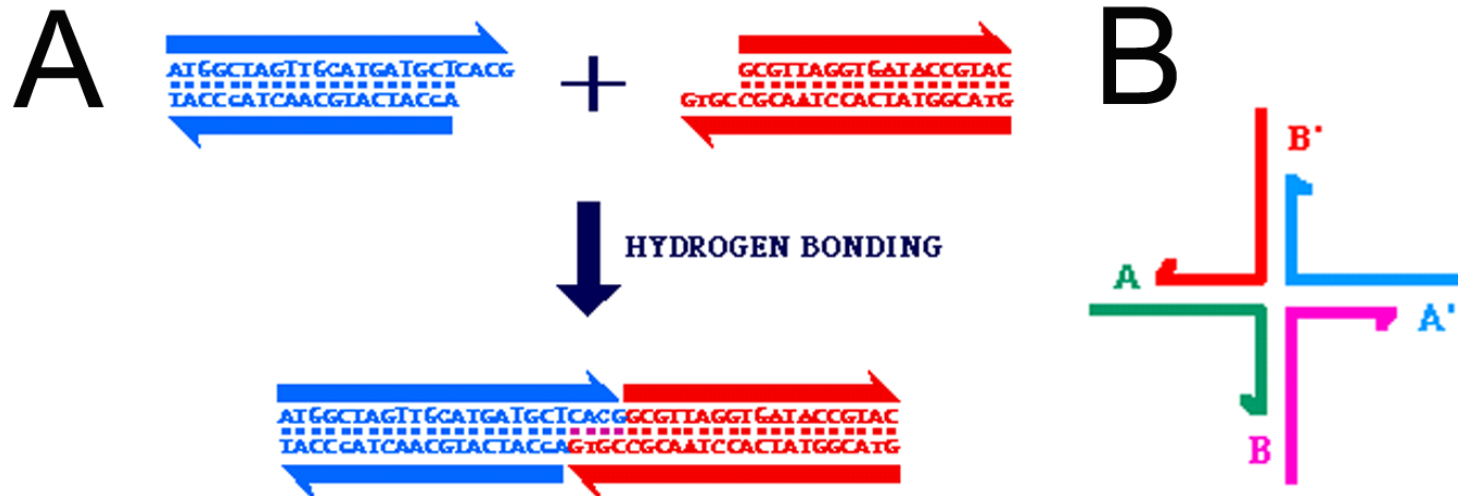
- A) Consists of two phosphate-sugar polymers running in opposite directions coupled by pairs of bases which hydrogen bond together as A-T and C-G precisely filling the gap. Results in a helix with a repeat distance of 3.4nm corresponding to 10 base pairs.



- B) Note that Adenine-Thymine has two H-bonds while Gaunine-Cytosine has three.

# Structural basis for DNA technology

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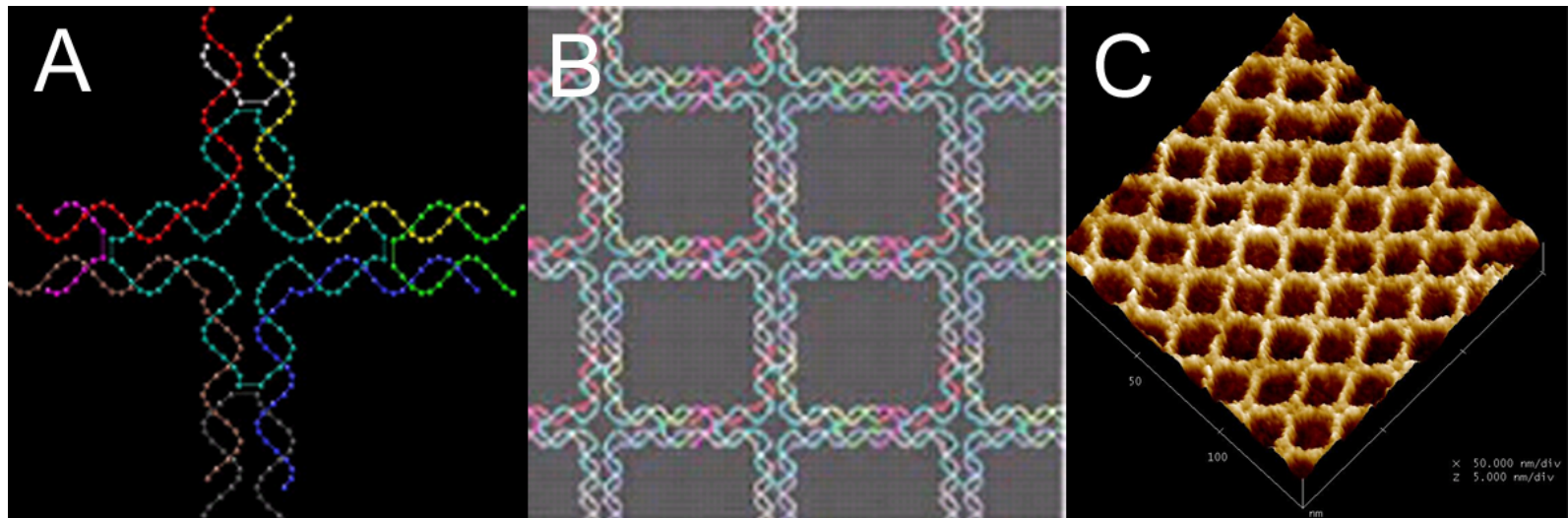


Segment A and B have complementary overhangs allowing them to bond. Using this many geometric structures can be assembled using various combinations of base pairs.



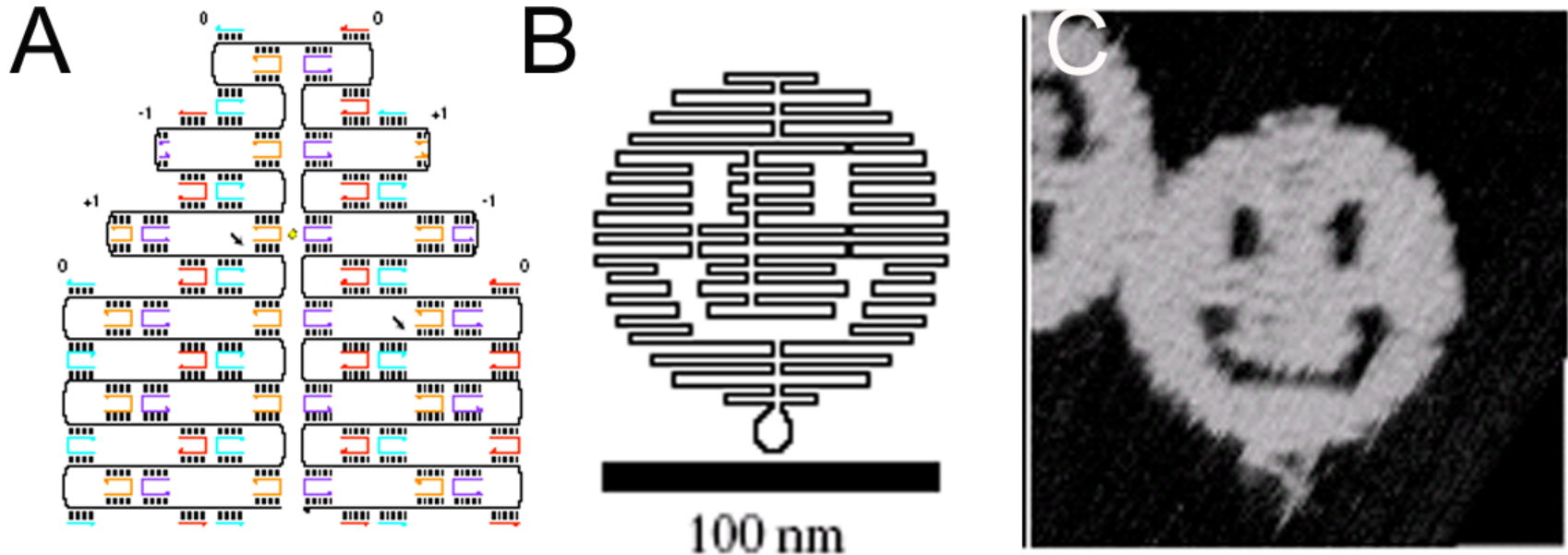
# DNA technology

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Design of a 4-way crossover structure that results in a rigid planar structure, A. With appropriate end sequences these self-assemble into lattice structure B, having a repeat distance of 20nm. C is an AFM of a such a structure formed by annealing a solution containing the appropriate DNA strands.

# DNA Origami

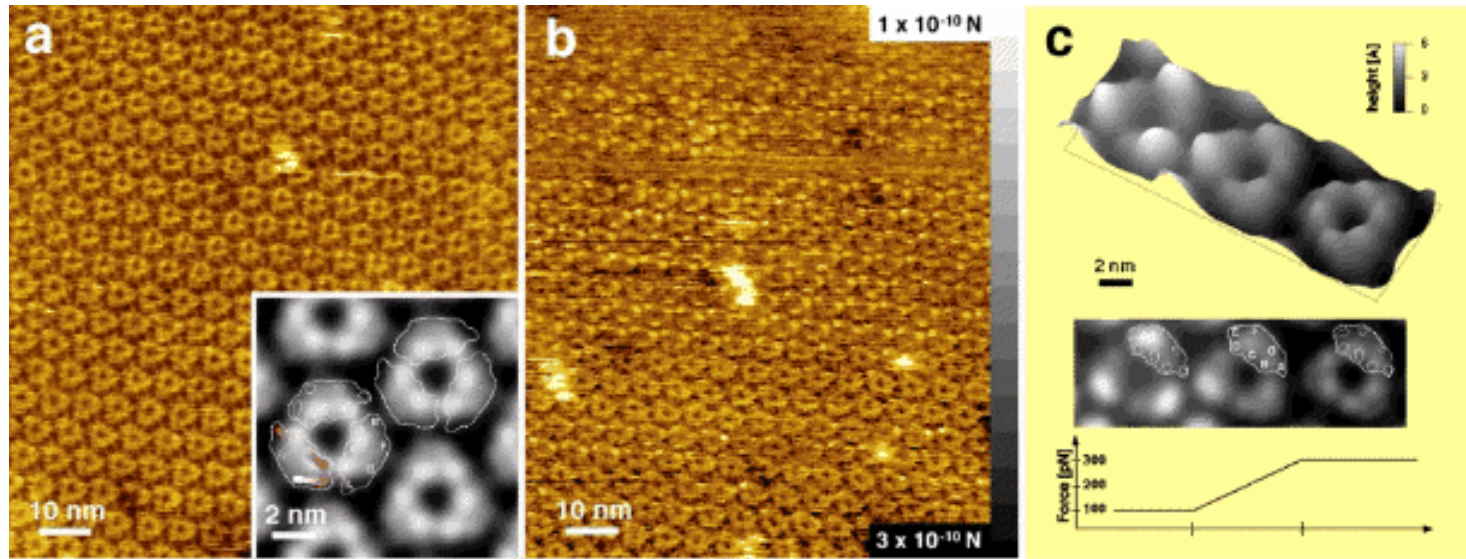


Design of a more complex structure is illustrated in A. By choosing the appropriate DNA base pair sequence the folding of the chain can be controlled as in B. C illustrates an AFM image of a DNA nanoscale smiley face made using this technique.

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# Proteins

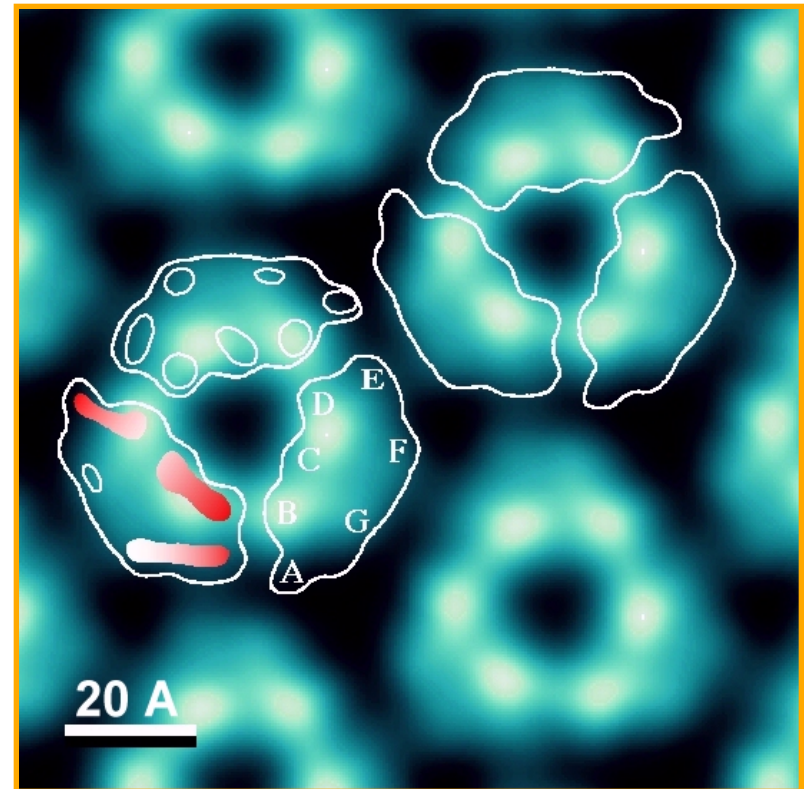
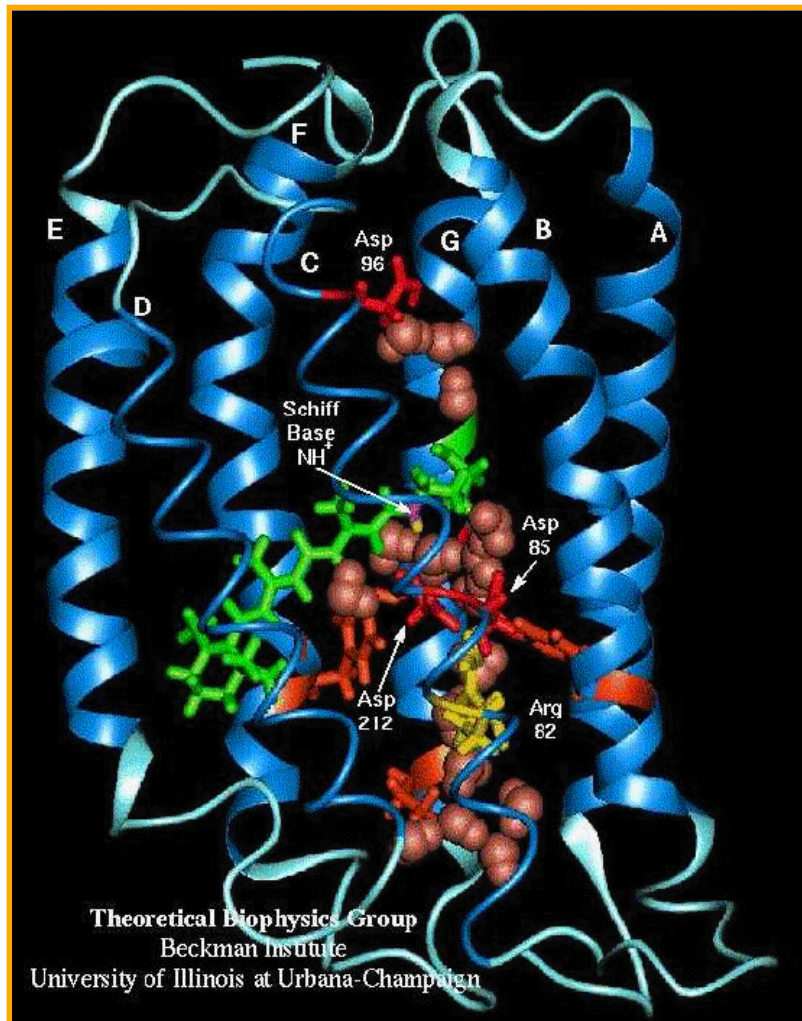
# AFM of Bacteriorhodopsin (bR)



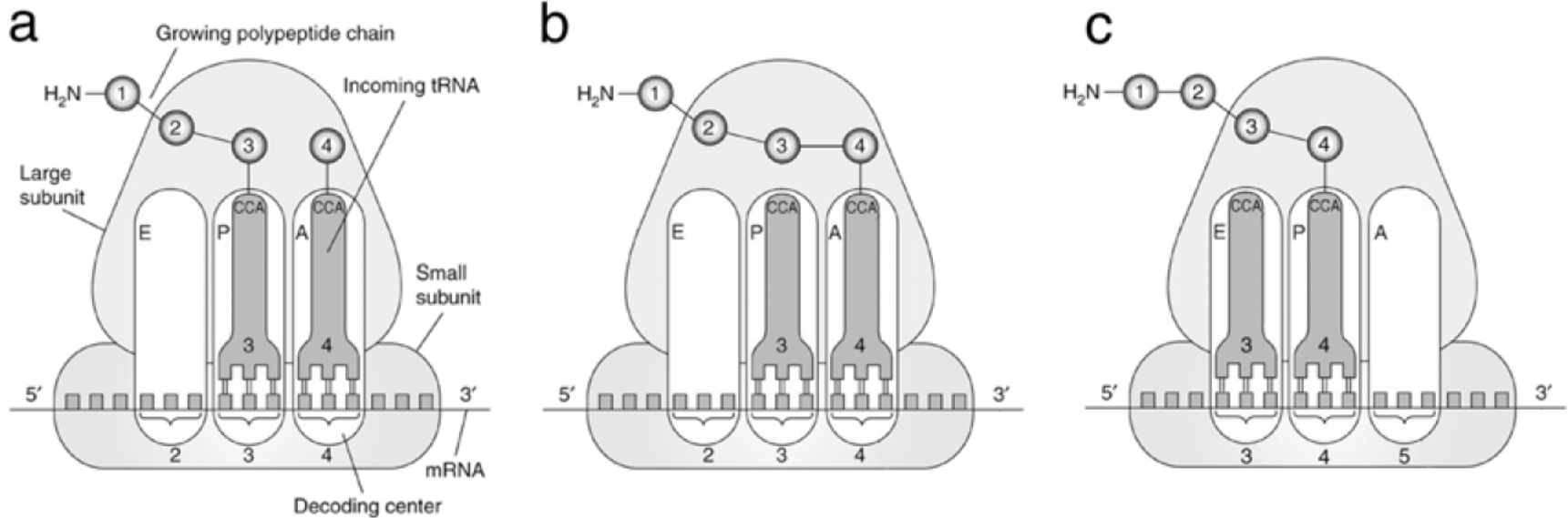
**AFM topographs of purple membrane from *Halobacterium salinarium*. Purple membrane consists of 25% lipids and 75% bacteriorhodopsin. This light driven proton pump comprises 7 transmembrane  $\alpha$ -helices which surround the photoactive retinal. (a) Imaged at forces of about  $3 \times 10^{-10}$  N two of the three loops connecting the  $\alpha$ -helices are visible on the cytoplasmic surface (inset). (b) When the applied force is reduced during imaging (from  $3 \times 10^{-10}$  N at the beginning (bottom) to  $1 \times 10^{-10}$  N at the end (top) of the scan), the proteins undergo a conformational change. (c) The most prominent loop connecting the  $\alpha$ -helices E and F is imaged at  $1 \times 10^{-10}$  N, but is bent toward the membrane surface at higher forces.**

<http://www.mih.unibas.ch/Booklet/Booklet96/>

# Structure of bR

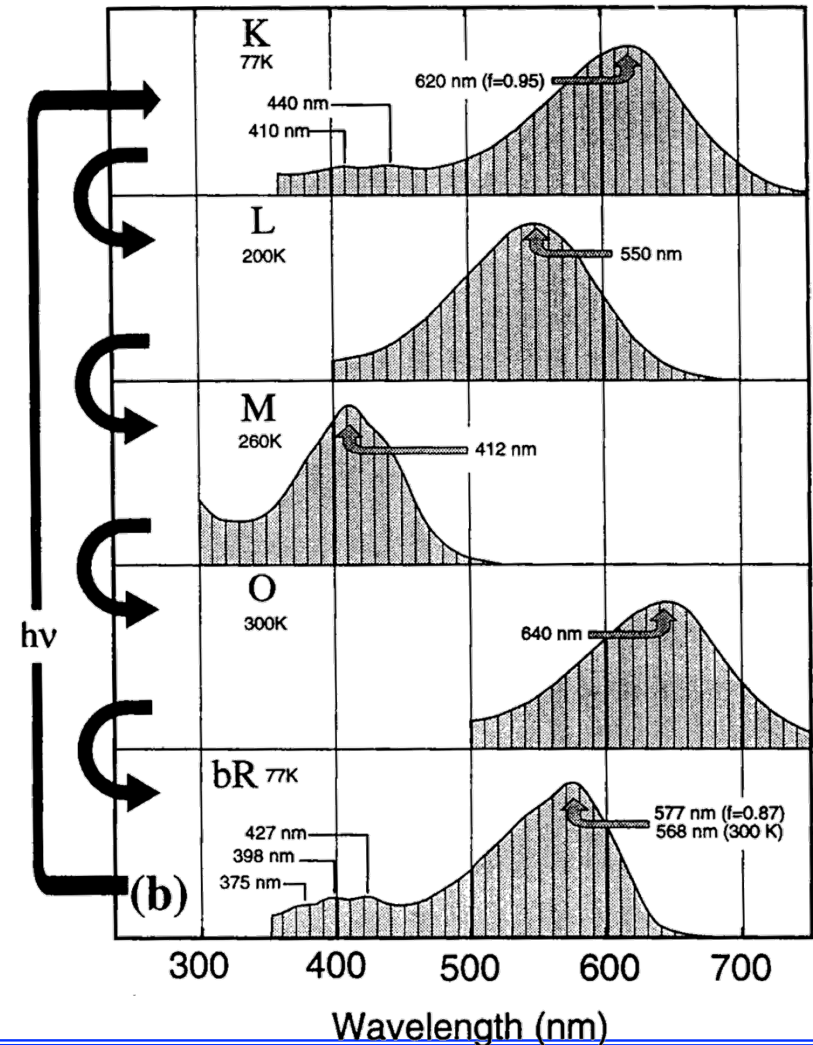
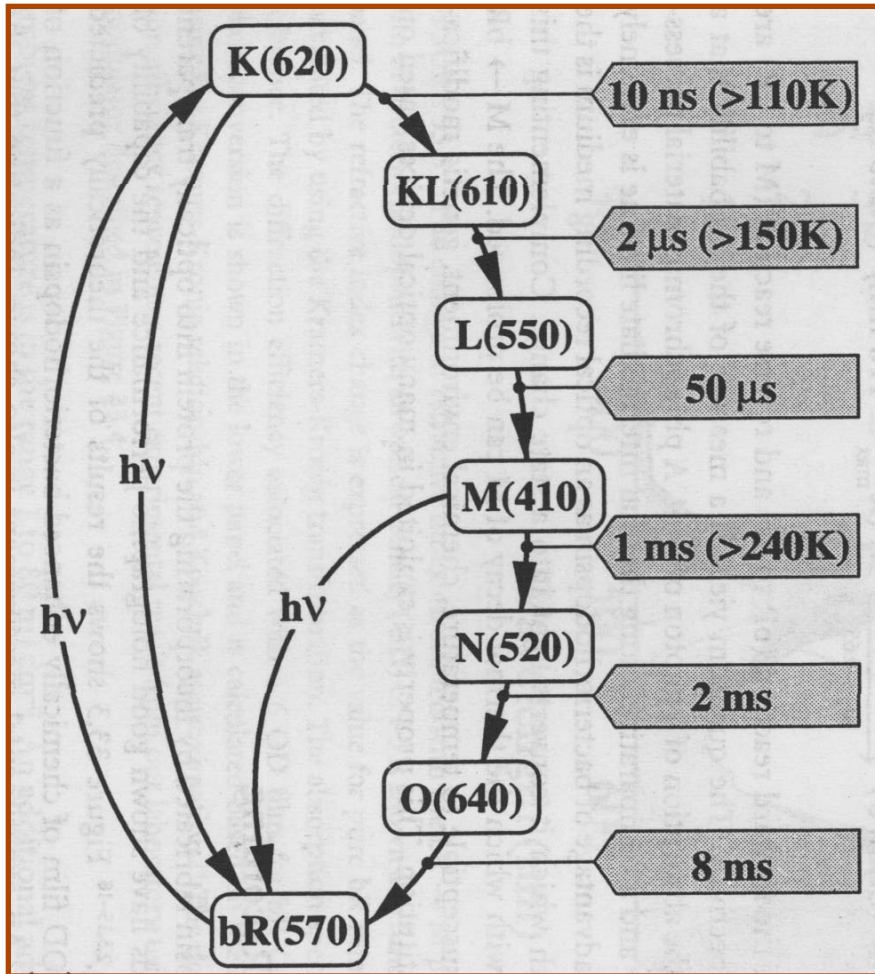


# Ribosome

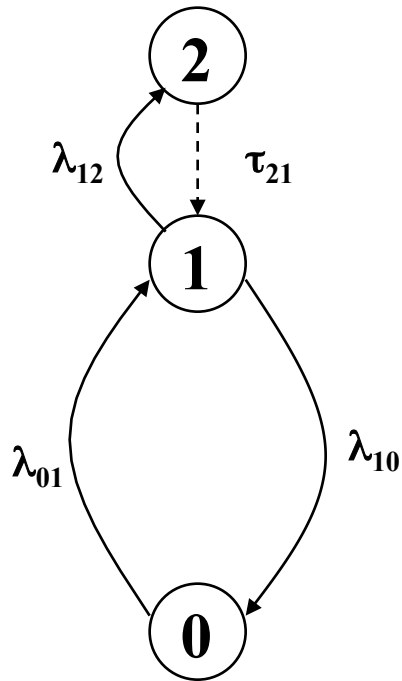


J. Frank<sup>1,2,4</sup> & C.M.T. Spahn, Rep. Prog. Phys. **69** 1383 (2006)

# Photocycle of bR (sans P-Q)



# Idealized 2-D PC Display State Machine



## Wavelengths

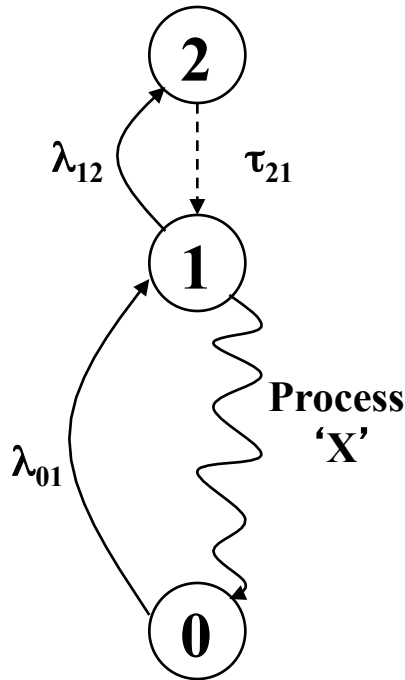
- $\lambda_{01}$  - write wavelength
- invisible or filtered
- $\lambda_{10}$  - erase wavelength
- invisible or filtered
- $\lambda_{12}$  - display or read wavelength
- visible
- possibly three bands for colour
- $\lambda_{01}, \lambda_{10}, \lambda_{12}$  - mutually exclusive wavelengths

## States

- 0** - stable ground state
- 1** - stable active state
- 2** - unstable excited display state
- thermal transition to 1 with time constant  $\tau_{21}$



# Alternate 2-D PC Display State Machine



## Wavelengths

$\lambda_{01}$

- write wavelength

- invisible or filtered

$\lambda_{12}$

- display or read wavelength

- visible

$\lambda_{01}, \lambda_{12}$

- possibly three bands for colour

- mutually exclusive wavelengths

**Process 'X'** - non-optical, switchable process which returns all in state 1 to state 0

- could be a negative process

## States

**0**

- stable ground state

**1**

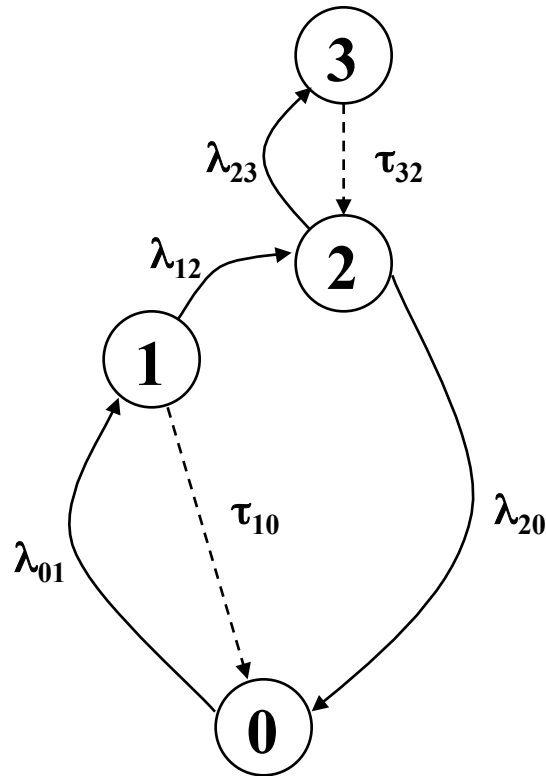
- stable active state

**2**

- unstable excited display state

- thermal transition to 1 with time constant  $\tau_{21}$

# Idealized 3-D PC Display State Machine



## Wavelengths

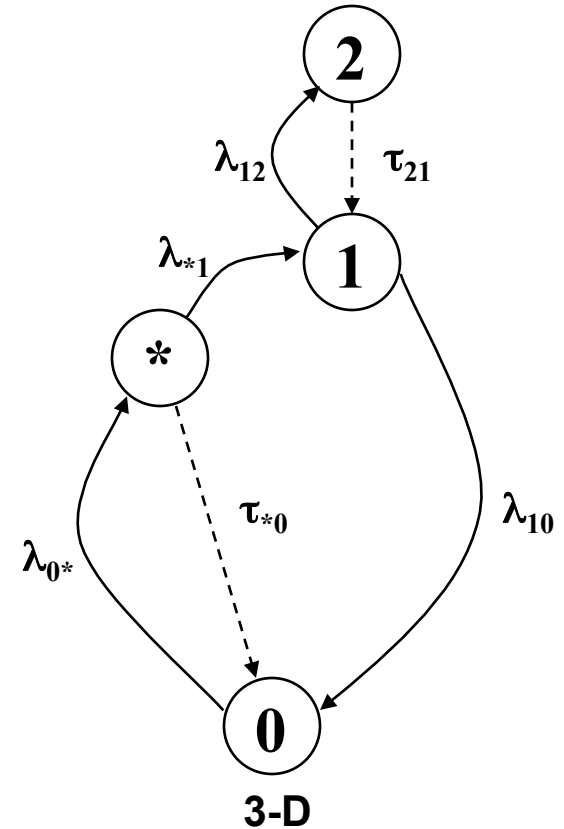
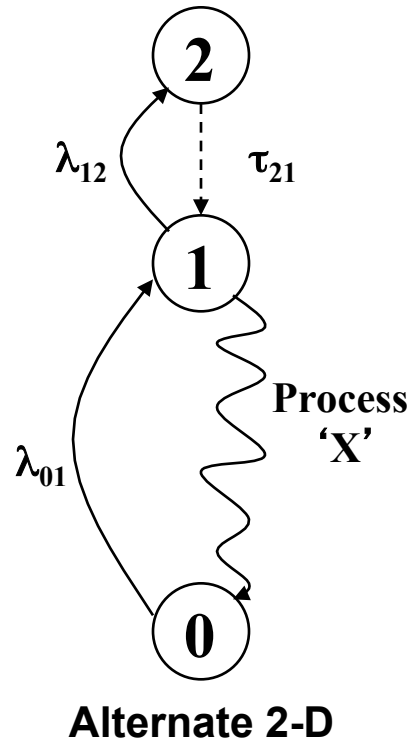
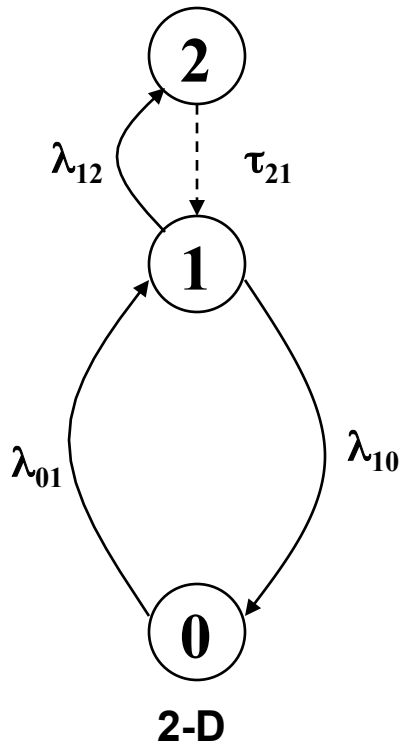
**Similar to 2-D version but requires added wavelength to facilitate writing in Z using intersecting beams.**

**Process 'X' can be used to replace  $\lambda_{20}$  as an alternate erase mechanism.**

## States

- 0** - stable ground state
- 1** - unstable transition state
  - thermal decay to 1 with time constant  $\tau_{10}$
- 2** - stable active state
- 3** - unstable excited display state
  - thermal decay to 2 with time constant  $\tau_{32}$

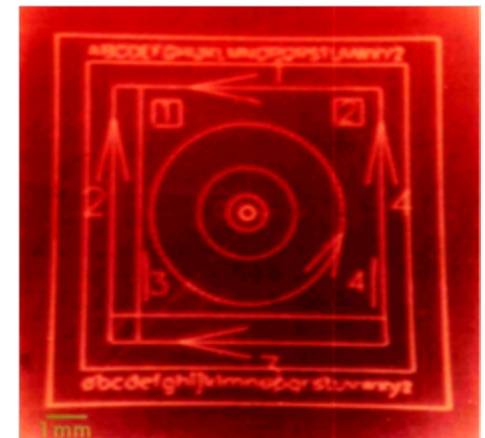
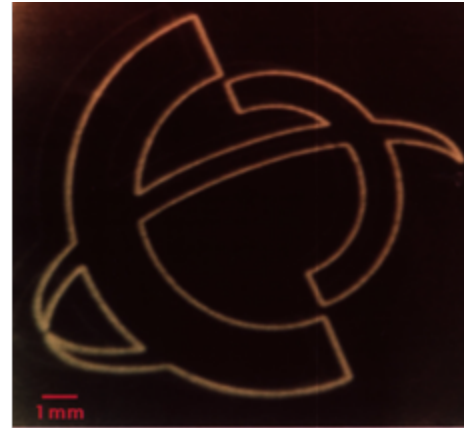
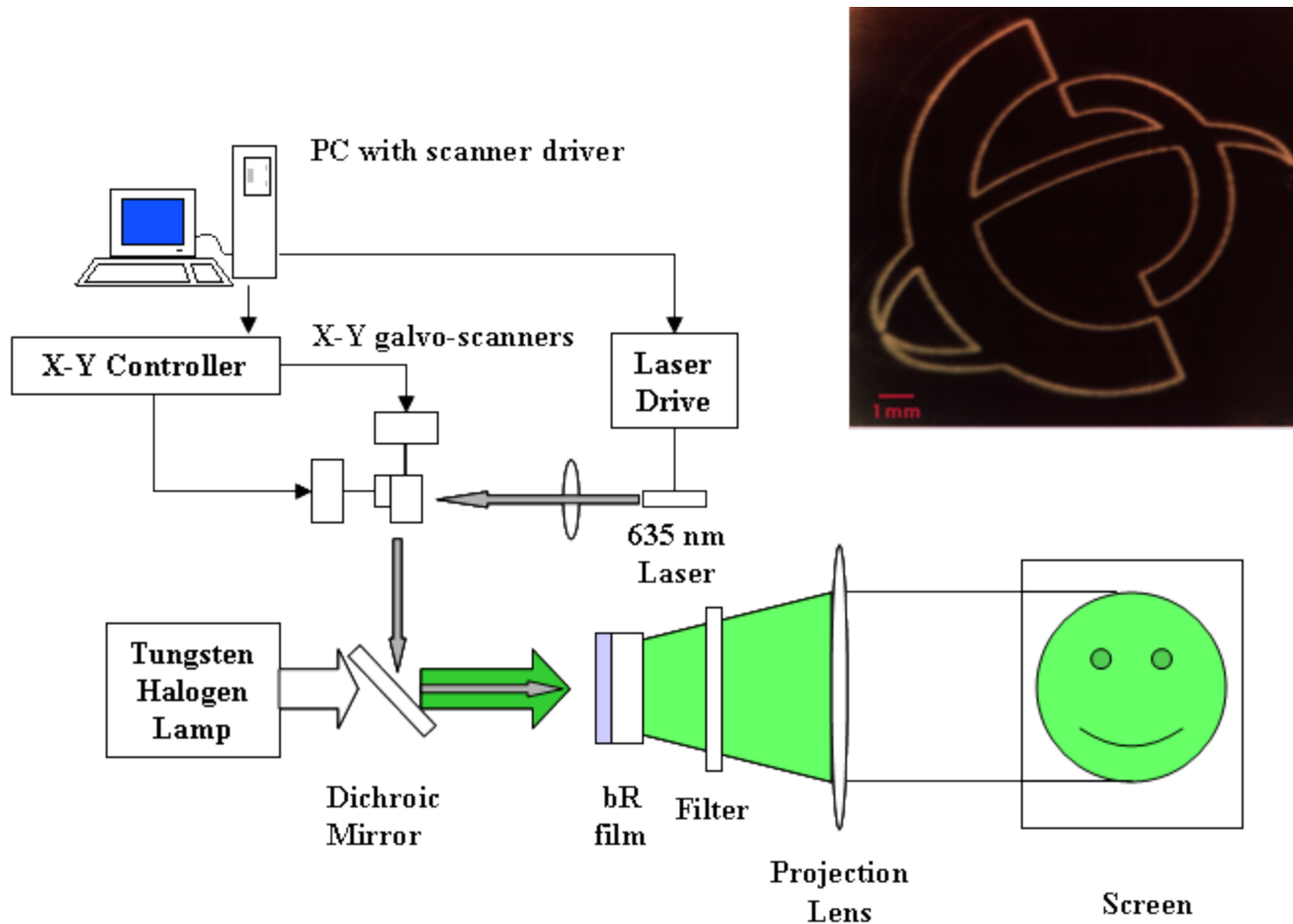
# Possible bR State Machines



**Wavelengths**  
 $\lambda_{01}, (\lambda_{0*}, \lambda_{*1})$  - write wavelength (s)  
 $\lambda_{10}$  - erase wavelength  
 $\lambda_{12}$  - display or read wavelength

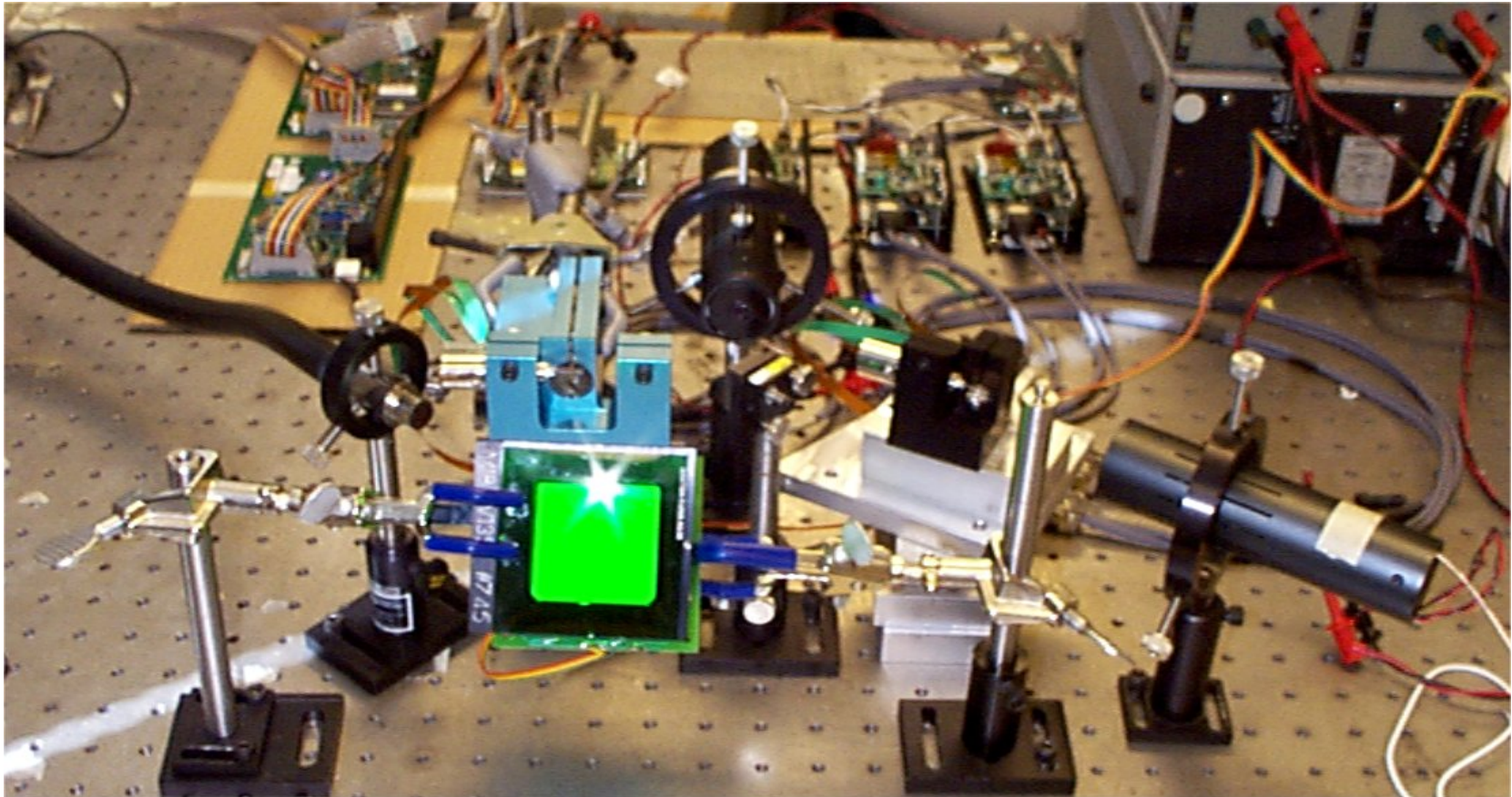
**States**  
 0 - stable ground state  
 1 - stable active state  
 2 - unstable excited display state  
 - thermal transition to 1 with time constant  $\tau_{21}$   
 \* - unstable mid-write state  
 - thermal transition to 0 with time constant  $\tau_{*0}$

# bR Projection Vector Display



# bR Projection Raster Display Setup

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# bR Hi-Res Raster Image

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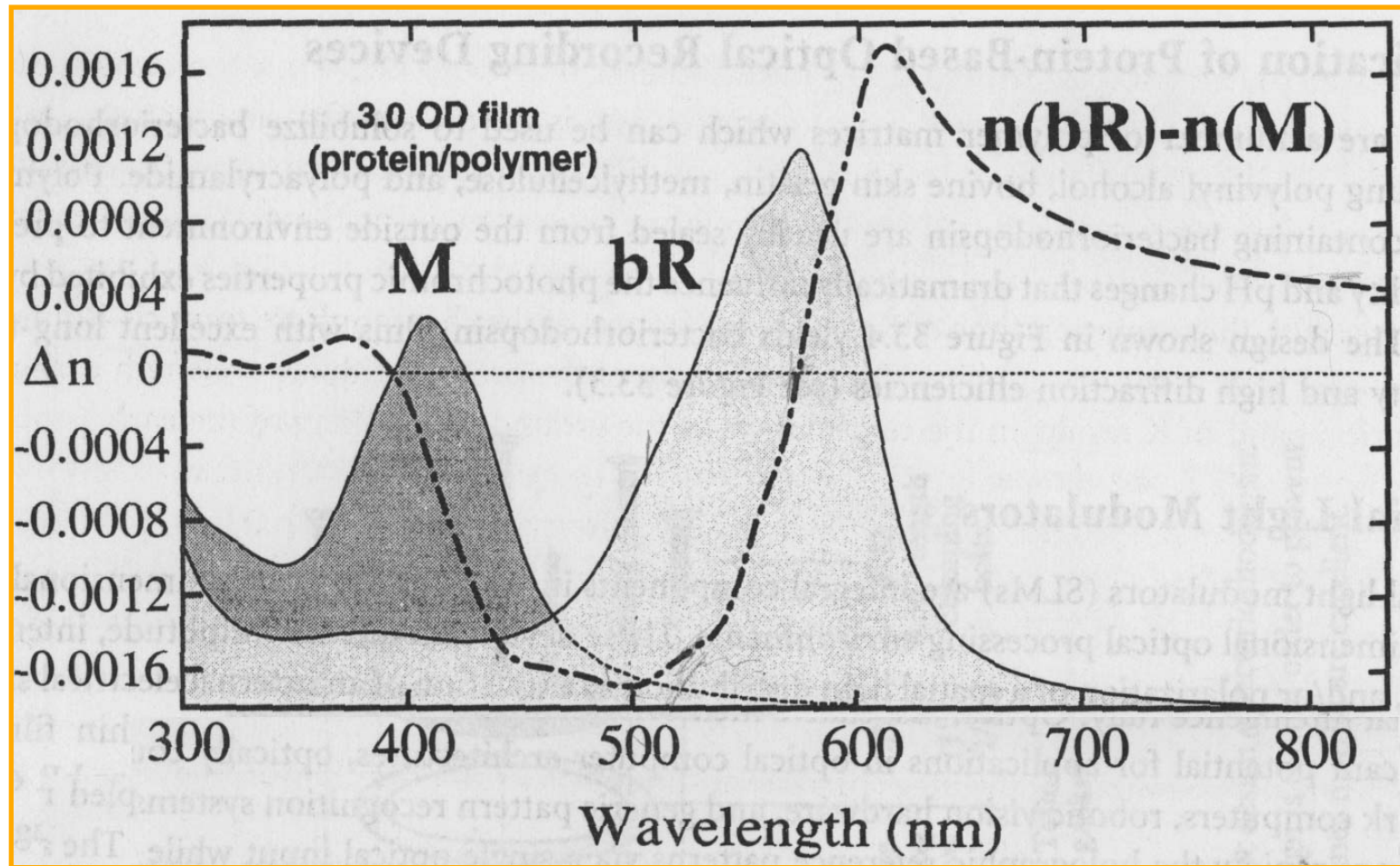


# Mapping bR onto ideal state machines

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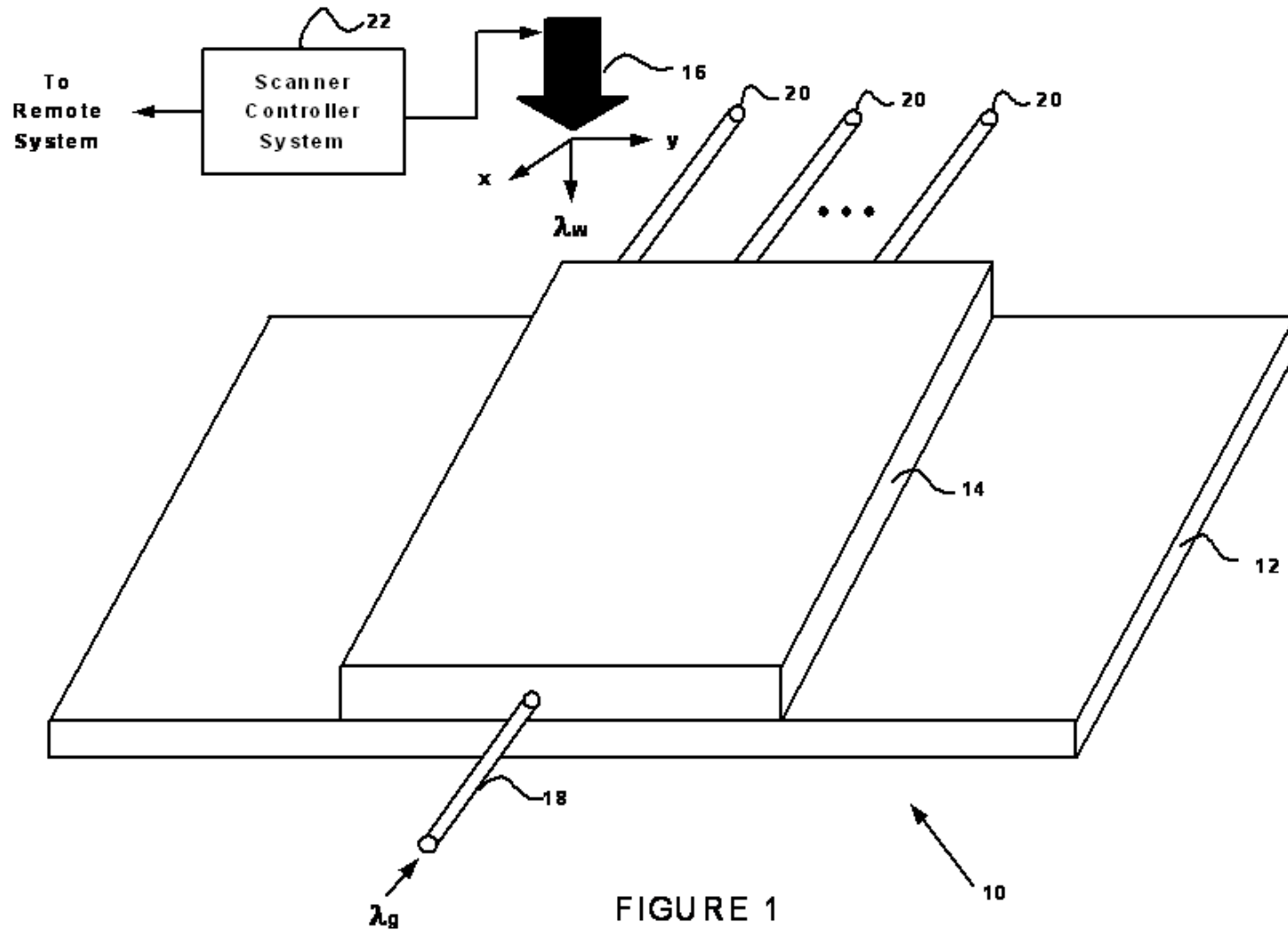
- **possible to narrow absorption bands**
  - **both chemically and genetically**
- **significant change is possible in the state positions**
  - **chromophore substitution, chemical or genetic alteration of protein**
- **state lifetimes can be engineered**
  - **proton accessibility, chemical or genetic alterations**
- **possible to change photocycle with field**
  - **very little study to date**
  - **may enable hybrid addressing**
- **ultimate resolution very high**
  - **holographic -> limited by matrix scattering to date**
- **visual properties acceptable**
  - **optical density of 4-6 with dynamic range of >300**
  - **material purity and high clarity matrix required (less so than memories)**

# Absorption & Index Spectrum of bR





# Adaptive Waveguide Router



1 / 5

# Adaptive WG Router – X-section

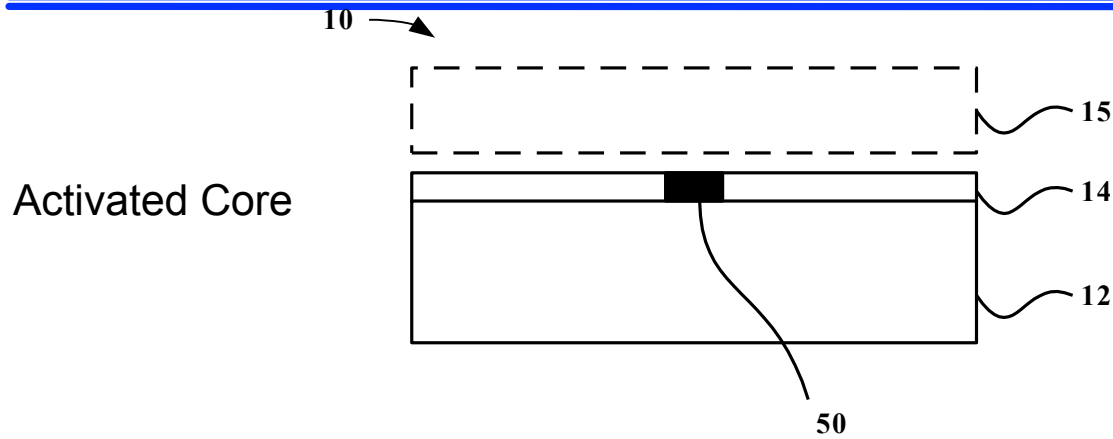


Figure 2A

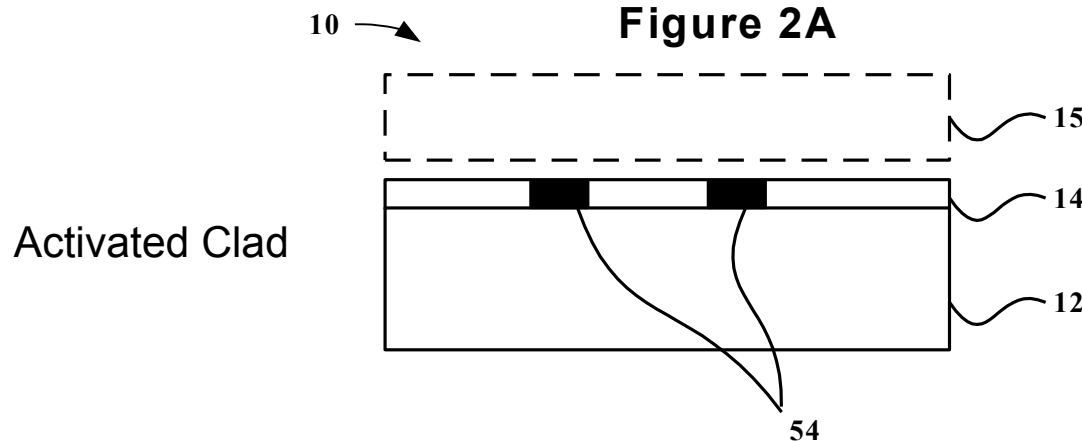


Figure 2B

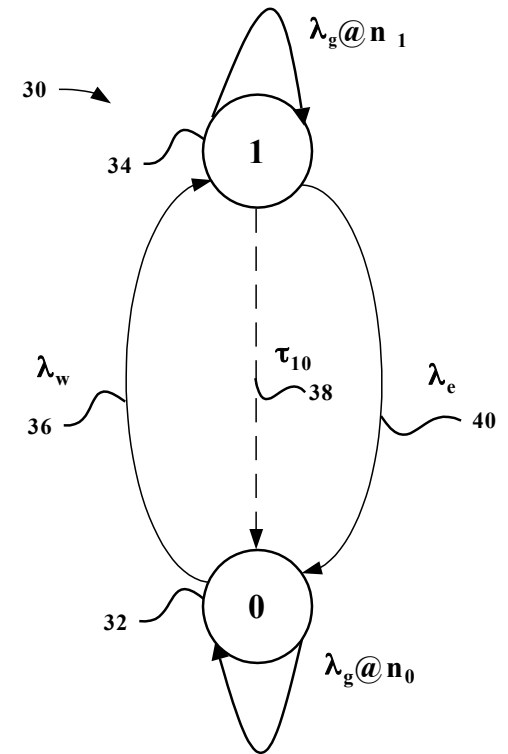


Figure 3